

# Diffusion Tensor Imaging: Reconstruction Using Deterministic Error Bounds

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# Layout

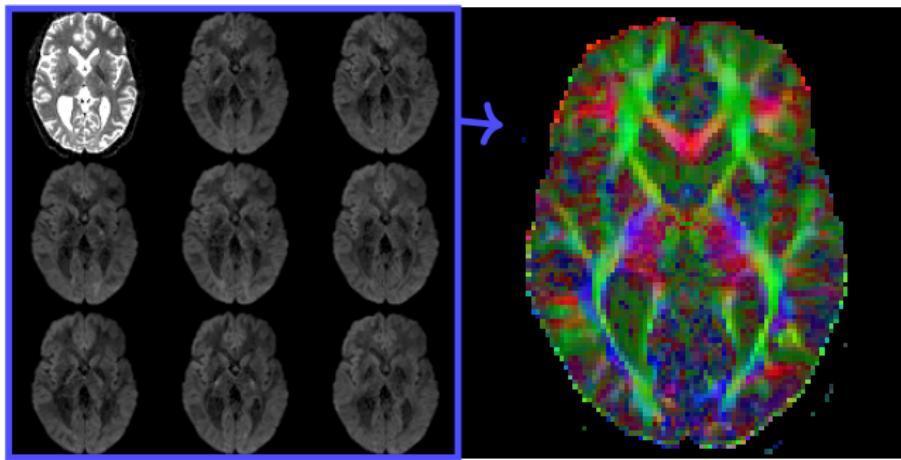
1 Introduction: Diffusion Tensor Imaging

2 Inverse Problems in Banach Lattices

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# Diffusion Tensor Imaging



Stejskal-Tanner equation

$$s_i(x) = s_0(x) \exp(-\langle b_i \otimes b_i, u(x) \rangle), \quad i = 1, \dots, n \quad (1)$$

Rician noise in the values  $s_i$ .

## Reconstruction based on $L_2$ fidelity

- $L_2$  reconstruction in the non-linear model<sup>1</sup>

$$\min_{u \geq 0} \sum_{i=1}^n \|s_i - T_i(u)\|_{L_2}^2 + \alpha R(u), \quad (2)$$

where  $[T_i(u)](x) := s_0(x) \exp(-\langle b_i \otimes b_i, u(x) \rangle)$ .

- Regression and denoising in the linearised model<sup>2</sup>

$$\min_{u \geq 0} \sum_{i=1}^n \|f - u\|_{L_2}^2 + \alpha R(u), \quad (3)$$

where each  $f$  is solved by regression for  $u$  from Eq. 1.

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<sup>1</sup>Valkonen (2014). *A primal-dual hybrid gradient method for non-linear operators with applications to MRI*, Inv. Prob. 30, 055012

<sup>2</sup>Valkonen, Bredies, Knoll (2013). *Total generalised variation in diffusion tensor imaging*, SIAM J. Imaging Sci. 6, 487- 525

- $L_2$  – fidelity not fully justified in the non-linear model (2) because the noise in the data is not Gaussian (it is Rician);
- In the linearised model (3), the Gaussian noise assumption is even more removed from truth;

- $L_2$  – fidelity not fully justified in the non-linear model (2) because the noise in the data is not Gaussian (it is Rician);
- In the linearised model (3), the Gaussian noise assumption is even more removed from truth;
- We forgo with accurate noise modelling and propose reconstruction using a novel type of fidelity based on confidence intervals (treated as bounds in a partial order).

## Reconstruction based on Order Intervals

Suppose that (pointwise) error bounds for the data are available:

$$s_i^l(x) \leq s_i(x) \leq s_i^u(x) \quad \text{a.e.,} \quad i = 0, 1, \dots, N.$$

Bounds preserved under the monotone  $\log(\cdot)$  transformation:

$$g_i^l(x) = \log \frac{s_i^l(x)}{s_0^u(x)} \leq \langle b_i \otimes b_i, u(x) \rangle \leq \log \frac{s_i^u(x)}{s_0^l(x)} = g_i^u(x) \quad \text{a.e.,} \quad i = 1, \dots, N.$$

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Linear reconstruction using error bounds

$$\begin{aligned} \min_u R(u) \quad & \text{subject to} \quad u \geq 0, \\ & g_i^l \leq A_i u \leq g_i^u, \quad i = 1, \dots, N, \end{aligned}$$

where  $[A_i u](x) := \langle b_i \otimes b_i, u(x) \rangle$ .

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What's the theory behind this ?

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# Banach Lattices<sup>3</sup>

- A vector space  $X$  endowed with a partial order relation  $\leqslant$  is called an *ordered vector space* if

$$x \leqslant y \implies x + z \leqslant y + z \quad \forall x, y, z \in X,$$

$$x \leqslant y \implies \lambda x \leqslant \lambda y \quad \forall x, y \in X \text{ and } \lambda \in \mathbb{R}_+.$$

- If the partial order  $\leqslant$  is a lattice, i.e.

$$\forall x, y \in X \quad \exists x \vee y \in X,$$

then  $X$  is called a *vector lattice* (or a *Riesz space*).

$$x \vee 0 = x_+, \quad (-x)_+ = x_-, \quad x = x_+ - x_-, \quad |x| = x_+ + x_-.$$

- If a vector lattice  $X$  is equipped with a monotone norm, i.e.

$$\forall x, y \in X \quad |x| \geqslant |y| \implies \|x\| \geqslant \|y\|,$$

then  $X$  is called a *Banach lattice* (if  $X$  is norm complete).

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<sup>3</sup>H. Schaefer. Banach Lattices and Positive Operators, Springer, 1974

# Error Modeling in Banach Lattices

Equation:

$$Ax = y, \quad x \in X, y \in Y,$$

where  $X, Y$  are Banach lattices,  $A$  is a regular operator.

Error bounds:

$$\begin{aligned} y_n^l &: y_{n+1}^l \geq y_n^l, & A_n^l &: A_{n+1}^l \geq A_n^l, \\ y_n^u &: y_{n+1}^u \leq y_n^u, & A_n^u &: A_{n+1}^u \leq A_n^u, \\ y_n^l &\leq y \leq y_n^u, & A_n^l &\leq A \leq A_n^u \quad \forall n \in \mathbb{N}, \\ \|y_n^u - y_n^l\| &\rightarrow 0, & \|A_n^u - A_n^l\| &\rightarrow 0 \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Feasible set:

$$X_n = \{x \geq 0 : A_n^I x \leq y_n^u, A_n^u x \geq y_n^I\}.$$

## Theorem

Let

$$x_n = \arg \min_{x \in X_n} \mathcal{R}(x).$$

If

- $\mathcal{R}(x)$  is bounded from below on  $X$ ,
- $\mathcal{R}(x)$  is lower semi-continuous on  $X$ ,
- the level-sets  $\{x : \mathcal{R}(x) \leq C\}$  are strong compacts in  $X$ ,

then  $\|x_n - \bar{x}\| \rightarrow 0$  and  $\mathcal{R}(x_n) \rightarrow \mathcal{R}(\bar{x})$ .

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<sup>4</sup>Y.K. (2014) *Making use of a partial order in solving inverse problems: II, Inv. Probl.*  
30, 085003

# Our Choice of the Regulariser: Total Generalised Variation<sup>5</sup>

Total Generalised Variation is a higher-order extension of Total Variation.  
It turns out that the standard BV norm

$$\|u\|_{BV(\Omega; \text{Sym}^k(\mathbb{R}^m))} := \|u\|_{L^1(\Omega; \text{Sym}^k(\mathbb{R}^m))} + \text{TV}(u)$$

and the “BGV norm”

$$\|u\|' := \|u\|_{L^1(\Omega; \text{Sym}^k(\mathbb{R}^m))} + \text{TGV}_{(\beta, \alpha)}^2(u)$$

are topologically equivalent norms on  $BV(\Omega; \text{Sym}^k(\mathbb{R}^m))$ , yielding the same convergence results for TGV and TV regularisation.

If the  $L_1$ -norm of  $u$  is bounded a priori then the level sets  $\{u: \text{TGV}_{(\beta, \alpha)}^2(u) \leq C\}$  are strong compacts in  $L_1(\Omega; \text{Sym}^k(\mathbb{R}^m))$ .

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<sup>5</sup>Bredies, Kunisch, Pock (2011). *Total generalized variation*, SIAM J. Imaging Sci. 3, 492–526

# Error Bounds Derived from Data

- Pointwise error bounds in the data may not be directly available
- attempt to use confidence intervals as pointwise bounds, i.e. to find for each true signal  $f$  individual *random* upper and lower bounds  $\hat{f}^u$  and  $\hat{f}^l$  such that

$$P(\hat{f}^u \leq f \leq \hat{f}^l) = 1 - \theta$$

- In the  $i$ -th voxel, the measured value  $\hat{f}^i$  is the sum of the true value  $f^i$  and additive noise  $\nu^i$ :

$$\hat{f}^i = f^i + \nu^i$$

- all  $\nu^i$  assumed i.i.d., but their distribution is unknown
- background regions with zero mean ( $f_i = 0$ ) provide us with a number of independent samples from the unknown distribution of  $\nu$ , which can be used to estimate this distribution.

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# Test Case with Synthetic Data

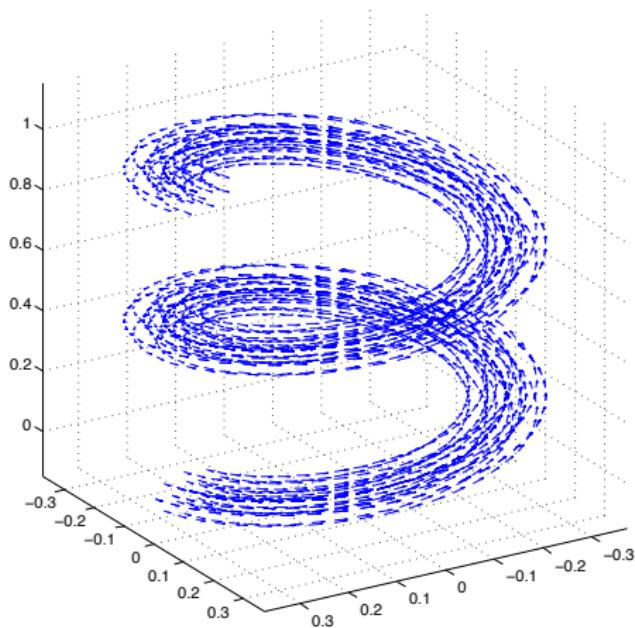
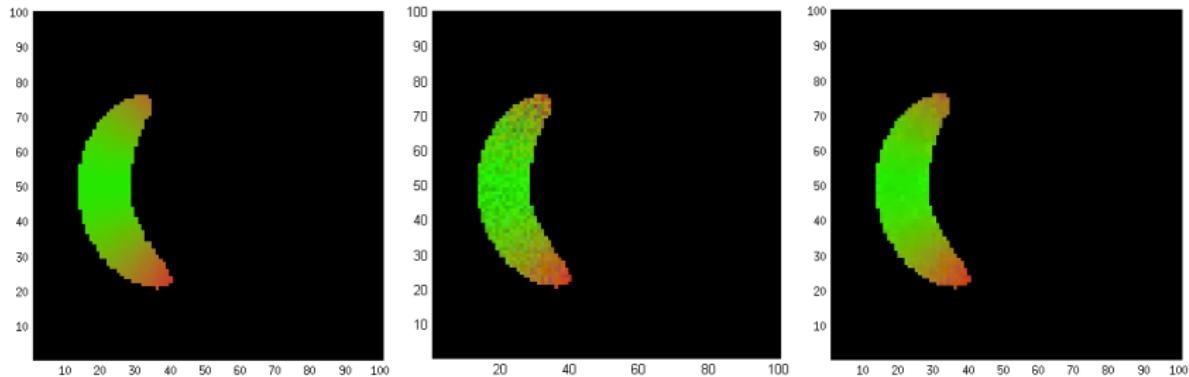


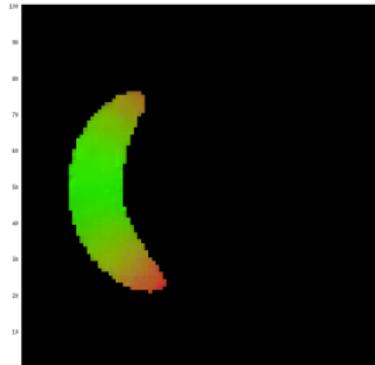
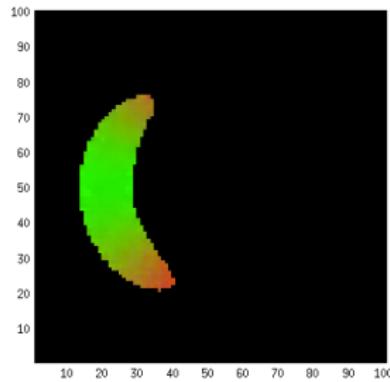
Figure: The principal eigenvector field of the ground-truth tensorfield



(a) Ground truth

(b) No regularisation

(c)  $L_2$ -nonlin (discr. pr.)



(d)  $L_2$ -linear (discr. pr.)

(e) Err. bounds (95%)

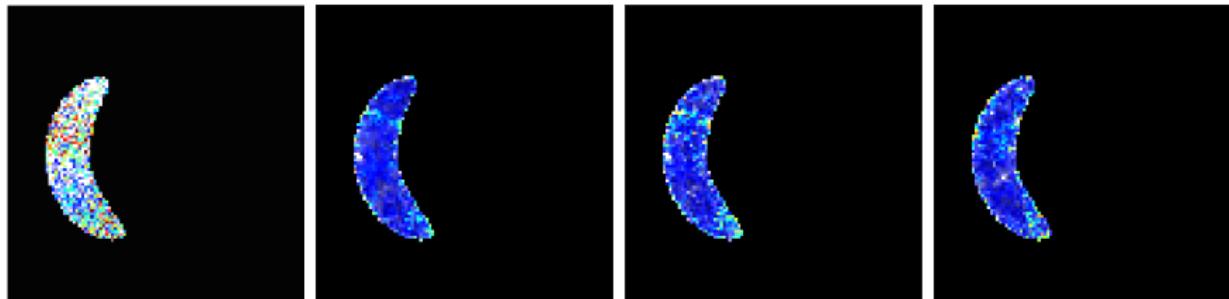
We plot the colour-coded principal eigenvector with intensity modulation by fractional anisotropy.

## Numerical results for the synthetic data

**Table:** For the  $L^2$  and non-linear  $L^2$  reconstruction models the ‘free parameter’ is the regularisation parameter  $\alpha$ , and for the error bounds approach it is the confidence interval.

Method	Parameter choice	Frobenius PSNR	Pr. e.val. PSNR	Pr. e.vect. angle PSNR
Regression		33.90dB	25.04dB	47.86dB
Linear $L^2$	Discr. Principle	32.93dB	27.81dB	61.89dB
Linear $L^2$	Frob. Error-optimal	34.51dB	28.42dB	60.93dB
Non-linear $L^2$	Discr. Principle	37.33dB	27.81dB	61.89dB
Non-linear $L^2$	Frob. Error-optimal	37.44dB	28.03dB	61.12dB
Err. bounds	90%	32.28dB	28.86dB	65.65dB
Err. bounds	95%	30.97dB	28.14dB	64.80dB
Err. bounds	99%	27.86dB	24.51dB	61.41dB

# Errors in Fractional Anisotropy

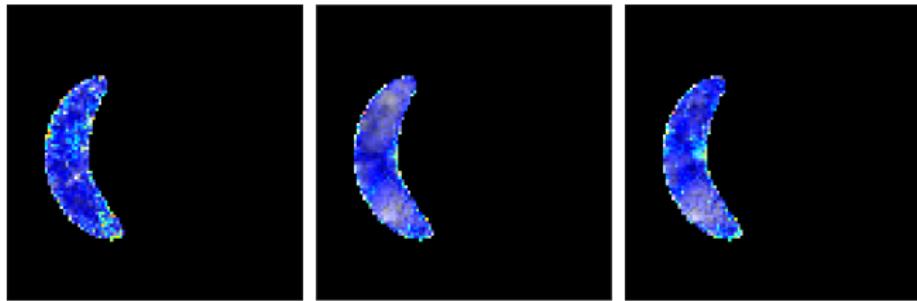


(a) Regr. result

(b)  $L^2$ -linear,  
discr. pr.

(c)  $L^2$ -linear,  
error-optimal

(d)  $L^2$ -nonlin,  
discr. pr.



(e)  $L^2$ -nonlin,  
error-optimal

(f) Err. bounds,  
95% conf. int.

(g) Err. bounds,  
90% conf. int.

# Layout

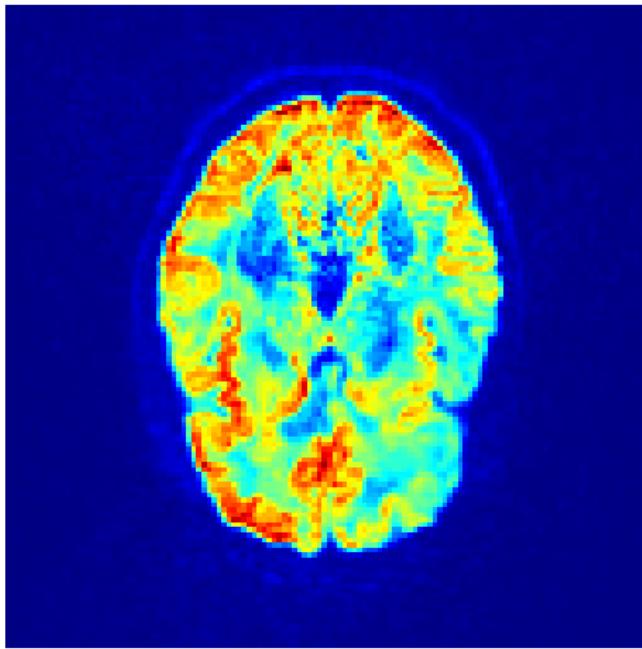
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## Slice of a real MRI measurement

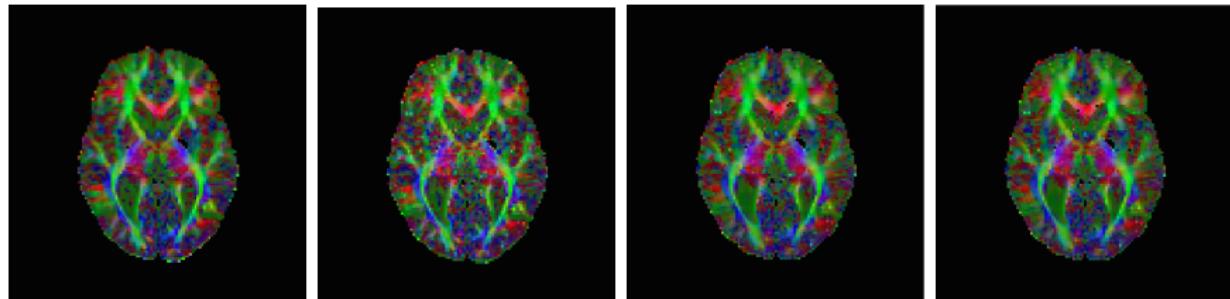


We are grateful to Karl Koschutnig for giving us access to the *in vivo* data set of a human brain, with the measurements of a volunteer performed on a clinical 3T system (Siemens Magnetom TIM Trio, Erlangen, Germany),

# Reconstruction of Real Images

- No ground truth available, pseudo-ground-truth estimated using regression from four repeated measurements;
- Only one measurement per gradient is used for reconstruction.

## Real Data: Colour-Coded Directions of the Principal Eigenvector

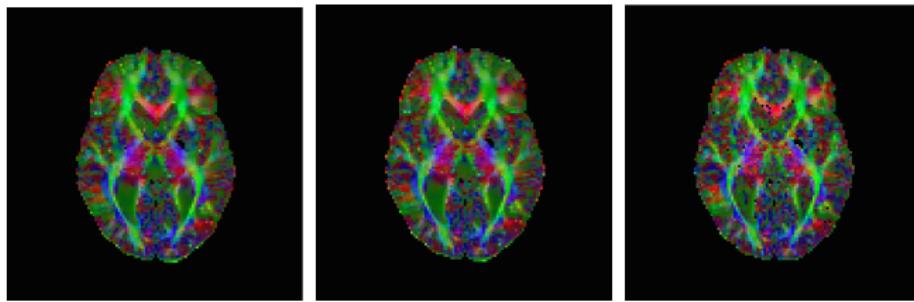


(a) Pseudo-ground-truth

(b) Regression result

(c) Linear  $L^2$ ,  
discr. principle

(d) Linear  $L^2$ ,  
error-optimal



(e) Non-linear  $L^2$ ,  
discr. principle

(f) Non-linear  $L^2$ ,  
error-optimal

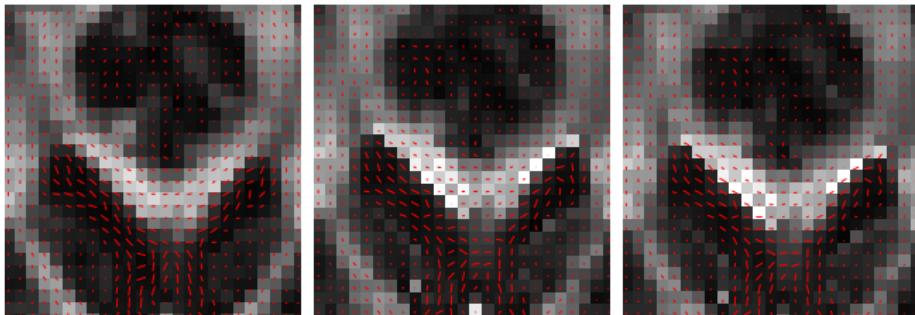
(g) Err. bounds,  
95% conf. int.

# Numerical results for the in-vivo brain data

**Table:** For the  $L^2$  and non-linear  $L^2$  reconstruction models the ‘free parameter’ is the regularisation parameter  $\alpha$ , and for the error bounds approach it is the confidence interval.

Method	Parameter choice	Frobenius PSNR	Pr. e.val. PSNR	Pr. e.vect. angle PSNR
Regression		32.35dB	33.67dB	28.56dB
Linear $L^2$	Discr. Principle	34.80dB	36.35dB	24.81dB
Linear $L^2$	Frob. Error-optimal	34.81dB	36.32dB	24.97dB
Non-linear $L^2$	Discr. Principle	33.53dB	35.87dB	27.12dB
Non-linear $L^2$	Frob. Error-optimal	33.57dB	36.03dB	27.58dB
Err. bounds	90%	33.71dB	34.93dB	27.00dB
Err. bounds	95%	33.70dB	34.97dB	26.91dB
Err. bounds	99%	33.67dB	34.89dB	26.88dB

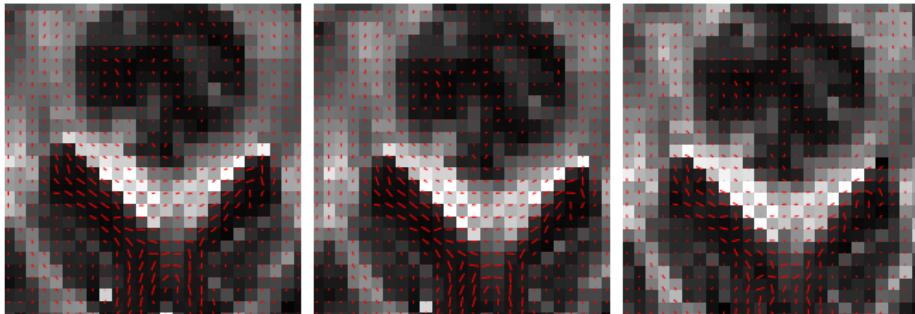
## Fractional anisotropy of the *corpus callosum* in greyscale and principal eigenvector



(a) Pseudo-ground-truth

(b) Linear  $L^2$ ,  
discr. pr.

(c) Linear  $L^2$ ,  
error-opt.

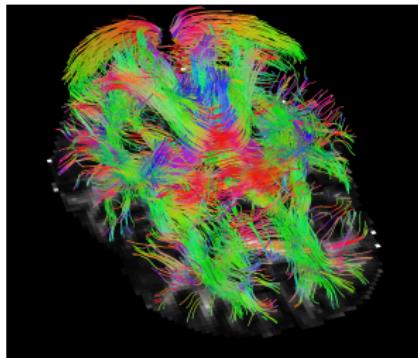


(d) Non-linear  $L^2$ ,  
discr. pr.

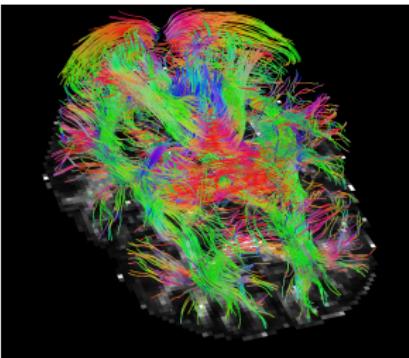
(e) Non-linear  $L^2$ ,  
error-opt.

(f) Err. bounds,  
95% conf. int.

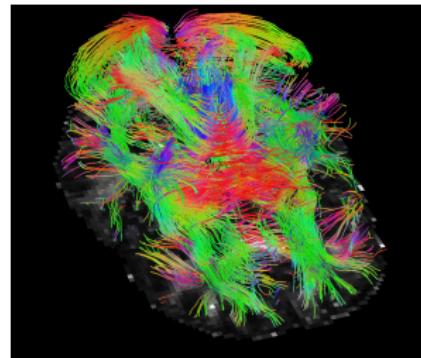
## Real Data: Tractography Results



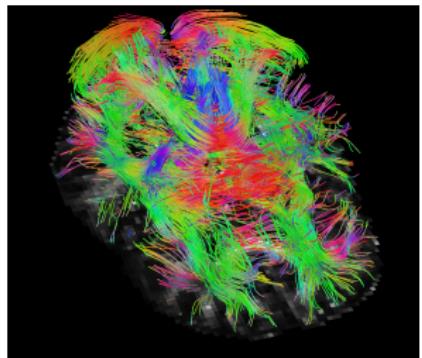
(a) Pseudo-ground-truth



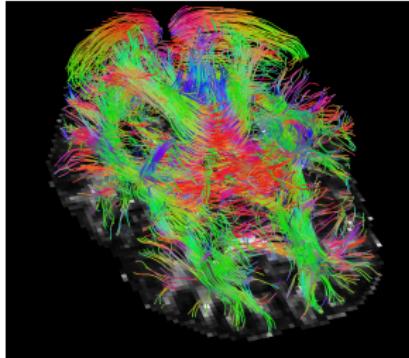
(b) Regression result



(c) Linear  $L^2$ , discr. pr.



(d) Non-lin.  $L^2$ , discr. pr.



(e) Constr., 95% C.I.

# Conclusions

## Conclusions:

- The error bounds based approach is a feasible, distribution - independent alternative to standard modelling with incorrect Gaussian assumptions;
- Very good reconstruction of the direction of the principal eigenvector – potentially useful for tractography;
- But some problems with fractional anisotropy;
- PSNR increases as the confidence level gets smaller. Can the confidence level be used as a regularisation parameter?

## Details:

- A. Gorokh, Y. Korolev, T. Valkonen (2016). *Diffusion tensor imaging with deterministic error bounds*, J. Math. Imaging Vis, 56(1), 137-157

THANK YOU FOR YOUR ATTENTION !