

# 5D Respiratory Motion Model Based Image Reconstruction Algorithm for 4D Cone-Beam Computed Tomography

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Joint work with Jiulong Liu<sup>1</sup>, Xue Zhang, Hao Gao<sup>2</sup> (SJTU)  
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# Outline

Background

Proposed 4DCBCT Reconstruction Model

Numerical Results

Conclusion and future work

# Outline

## Background

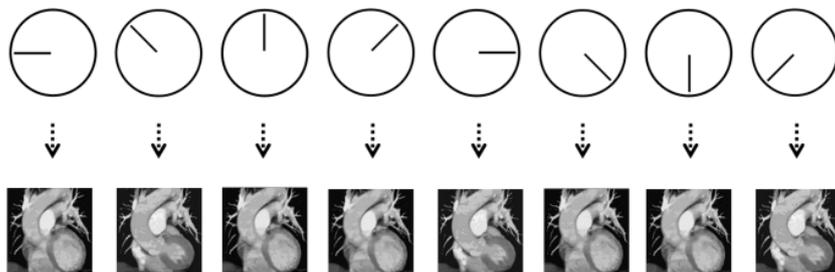
## Proposed 4DCBCT Reconstruction Model

## Numerical Results

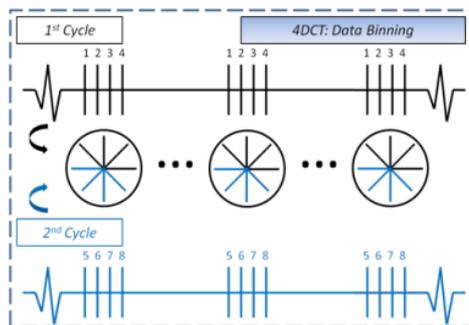
## Conclusion and future work



# Challenges for 4DCBCT reconstruction



**Figure:** Projections: single projection per image



**Figure:** Rebinning by cycle

- Few projections
- Binning errors
  - Inaccurate binning
  - Uneven binning

## Some existing approaches

- No motion estimation: rebinning and reconstruction phase-by-phase ([Jia et al., MICCAI, 2010](#); [Gao et al., Medical Physics, 2012.](#));
- No motion estimation, no rebinning: Low rank matrix/rank sparsity ([Cai et al., IEEE TMI, 2014](#));
- Time-dependent motion estimation ([Christoffersen et al., IEEE TMI, 2013](#); [Wang et al., Medical Physics, 2013](#); [Yan et al., Medical Physics, 2014.](#)).

# Reconstruction by Rebinning

- Rebinning: Let  $\{I_t(x_i, y_j), 1 \leq i, j \leq N, 1 \leq t \leq T\}$ ,  $y_t$  the binned projection data to the phase  $t$ , and  $A_t$  is the X-ray transform at these binned angles.
- The conventional phase-by-phase 4DCBCT methods

$$\min_{I_t} \|A_t I_t - y_t\|_2^2 + \mu |\nabla I_t|_1, 1 \leq t \leq T$$

- The state-of-art spatiotemporal-TV-based 4DCBCT

$$\min_{\{I_t\}} \sum_t \|A_t I_t - y_t\|_2^2 + \mu \sum_t |\nabla I_t|_1 + \lambda |\partial_t I_t|_1$$

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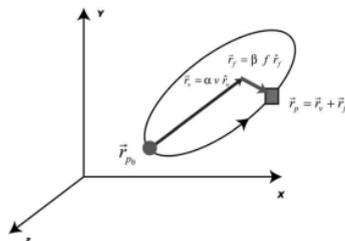
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## 5D Respiratory Motion Model<sup>3</sup>

Developed to accurately estimate the respiratory motion from CT images.



$$\vec{X} = \vec{X}_0 + \vec{\alpha}(\vec{X}_0)v + \vec{\beta}(\vec{X}_0)f$$

- $X_0$  is the reference position
- $X$  the predicted position
- $\vec{\alpha}(\vec{X}_0)$  and  $\vec{\beta}(\vec{X}_0)$  motion vectors
- $v$  the breathing amplitude,  $f$  the breathing rate.

<sup>3</sup>Low et al., International Journal of Radiation Oncology Biology Physics, 2005.

## 5D Model based reconstruction model

- Let  $\{I_t\}$  be the image sequence to be reconstructed from the observed projection data  $\{y_t\}$  (without binning).
- The object at  $\vec{X}$  in  $I_0$  **deforms** to a new location  $\vec{X}_t$  in an arbitrary image phase  $I_t$  through the 5D motion model, i.e.

$$I_0(\vec{X}) = I_t(\vec{X}_t)$$

$$\vec{X}_t = \vec{X} + v_t \vec{M}_1 + f_t \vec{M}_2, \text{ for } 1 \leq t \leq T$$

where the displacement vectors ( $M_1, M_2$ ) are **time-independent** and the breathing amplitude ( $f_t$ ) and the breathing rate ( $v_t$ ) are **time-dependent**.

- In practice, we assume that the breathing amplitude  $f_t$  and rate  $v_t$  can be experimentally measured in advance (for regular breathing).

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## 5D Model based reconstruction model

- In 2D, let  $\vec{M}_1 = (M_{1x}, M_{1y})$  and  $\vec{M}_2 = (M_{2x}, M_{2y})$ .  $M = (M_{1x}, M_{1y}, M_{2x}, M_{2y})$ .

$$I_0(\vec{X}) = I_t(\vec{X} + L_t(M))$$

with  $L_t(M) = v_t \vec{M}_1 + f_t \vec{M}_2$ .

- Linearization

$$I_0(\vec{X}) \approx I_t(\vec{X}) + \nabla^T I_t \cdot L_t(M)$$

- Finally, we attempt to solve

$$\min_{I_0, M} \sum_t \|A_t(I_0 - \nabla^T I_t \cdot L_t(M)) - y_t\|_2^2 + \mu |\nabla I_0|_1 + \lambda |\nabla M|_1.$$

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## Numerical method

- Given  $I_t^K$ , we apply the proximal alternating minimization (PAM)<sup>4</sup> to solve

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$$\left\{ \begin{array}{l} I_0^{K+1} = \operatorname{argmin}_{I_0} \sum_t \|A_t(I_0 - \nabla^T I_t^K \cdot L_t(M^K)) - y_t\|_2^2 \\ \quad + \mu |\nabla I_0|_1 + \frac{1}{2\sigma} \|I_0 - I_0^K\|_2^2 \\ M^{K+1} = \operatorname{argmin}_M \sum_t \|A_t(I_0^{K+1} - \nabla^T I_t^K \cdot L_t(M)) - y_t\|_2^2 \\ \quad + \lambda |\nabla M|_1 + \frac{1}{2\eta} \|M - M^K\|_2^2. \end{array} \right.$$

- Given  $(I_0^{K+1}, M^{K+1})$ , update  $I_t^{K+1}$  according to the deformation model

$$I_t^{K+1}(\vec{X} + L_t(M^{K+1})) = I_0^{K+1}(\vec{X})$$

Note that interpolation is needed to compute the cartesian coordinate of  $I_t$  from the deformation of  $I_0$ .

<sup>4</sup>Attouch-Bolte-Redont-Soubeyran, 2010

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# Convergence Analysis

- Consider approximated model:

$$\min_{0 \leq I_0 \leq \alpha, |M|_\infty \leq \beta} P(I_0, M) := \|A(I_0 - \nabla^T I_0 \cdot L_t(M)) - y_t\|_2^2 + \mu |\nabla I_0|_1 + \lambda |\nabla M|_1$$

- Analysis based on Kurdyka-Łojasiewicz (K-Ł) Inequality  
(Attouch-Bolte-Redont-Soubeyran, [Mathematics of Operations Research](#), 2010).

$$\begin{cases} f(I_0) &= \mu |\nabla I_0|_1 + \mathcal{C}_{0 \leq I_0 \leq \alpha}(I_0) \\ Q(I_0, M) &= \sum_t \|A_t(I_0 - \nabla^T I_0 \cdot L_t(M)) - y_t\|_2^2, \\ g(M) &= \lambda |\nabla M|_1 + \mathcal{C}_{-\beta \leq M \leq \beta}(M) \end{cases}$$

- It can be shown that
  - $P(I_0, M)$  is a K-Ł function.
  - The sequence  $Z^K = (I_0^K, M^K)$  is subsequence-convergent.
  - $\nabla Q(I_0, M)$  has a Lipschitz constant on any bounded set.
  - The sequence  $Z^K = (I_0^K, M^K)$  converges to the critical point of  $P(I_0, M)$ .

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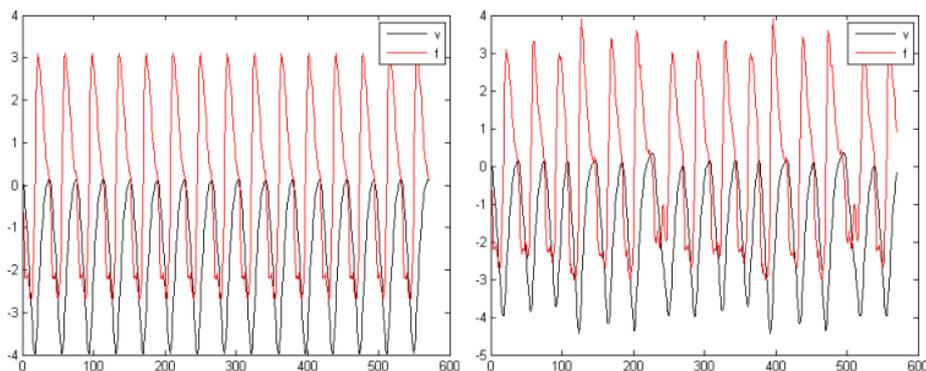
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## Simulation

- Methods in comparison: FBP, spatial TV (phase-by-phase), spatialtemporal-TV
- 570 projections are evenly distributed between 0 and  $2\pi$  and the image size is  $500 \times 500$  (visualization size  $500 \times 300$ )
- For the proposed method, every  $I_t$  has a single projection data  $y_t$ .
- The motion are simulated via 5D model with measured data.

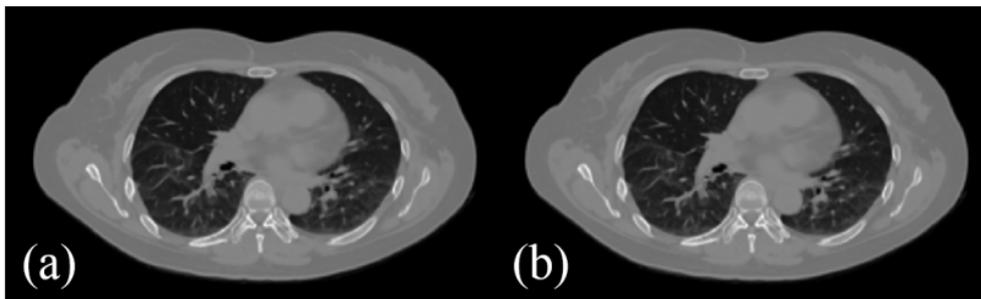


**Figure:** Breathing amplitude  $v$  and breathing rate  $f$ . (Left) Periodic breathing; (Right) non-periodic breathing.

## Rebinning for the methods in comparison

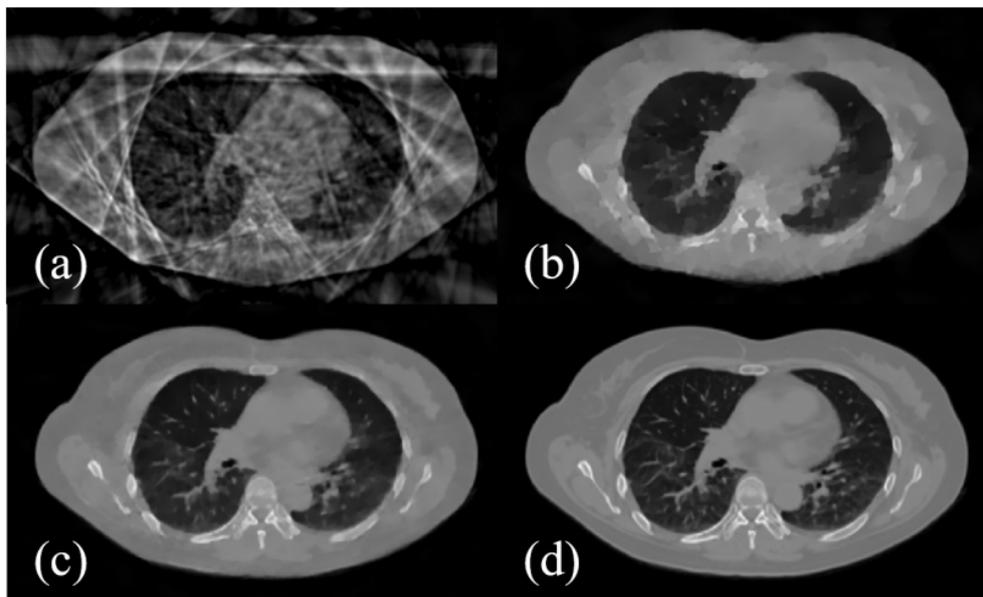
- 570 projections are binned to 10 phases.

Phase Period	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>	6 <sup>th</sup>	7 <sup>th</sup>	8 <sup>th</sup>	9 <sup>th</sup>	10 <sup>th</sup>
1	1-3	4-7	8-11	12-15	16-19	20-23	24-27	28-31	32-35	36-38
2	39-41	42-45	.....							
3	77-79									
.....	.....									



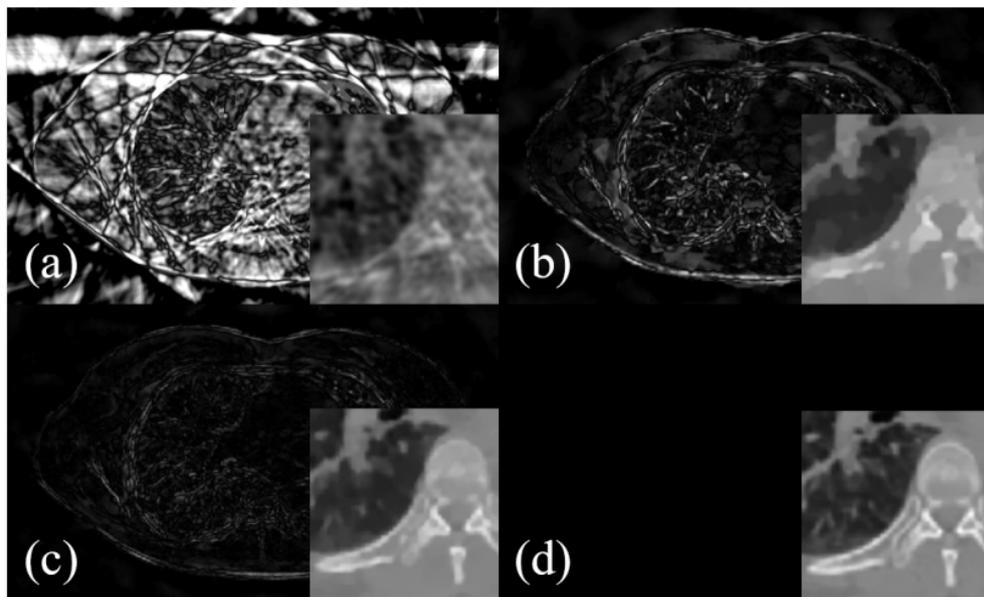
**Figure:** Ground truth(Phase 3). (a) Periodic breathing; (b) non-periodic breathing.

## Reconstruction results for periodic breathing



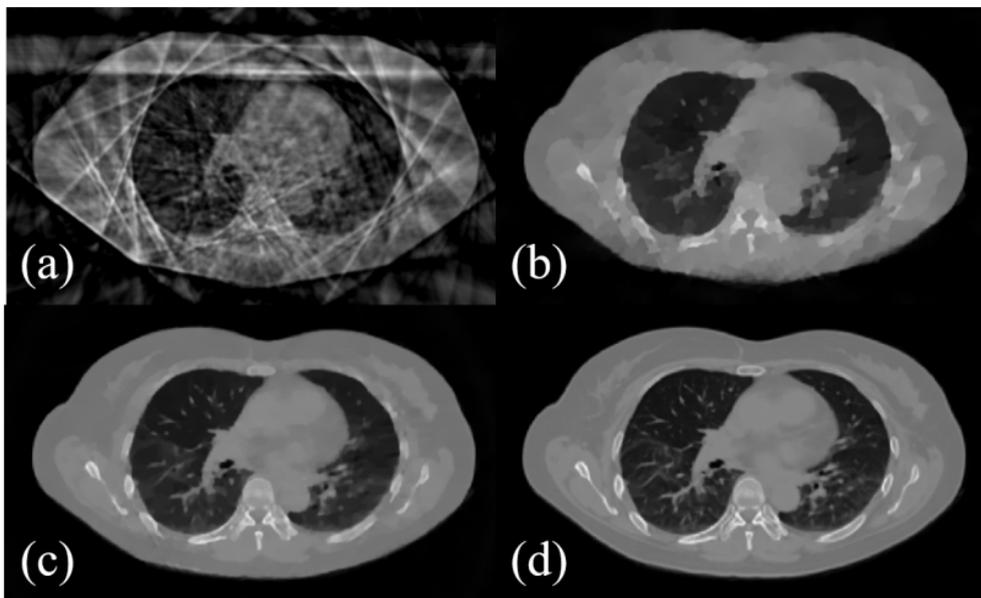
**Figure:** Reconstruction results for periodic breathing (Phase 3). (a) FBP; (b) spatial TV; (c) spatiotemporal TV; (d) 5D Method.

# Reconstruction errors for periodic breathing



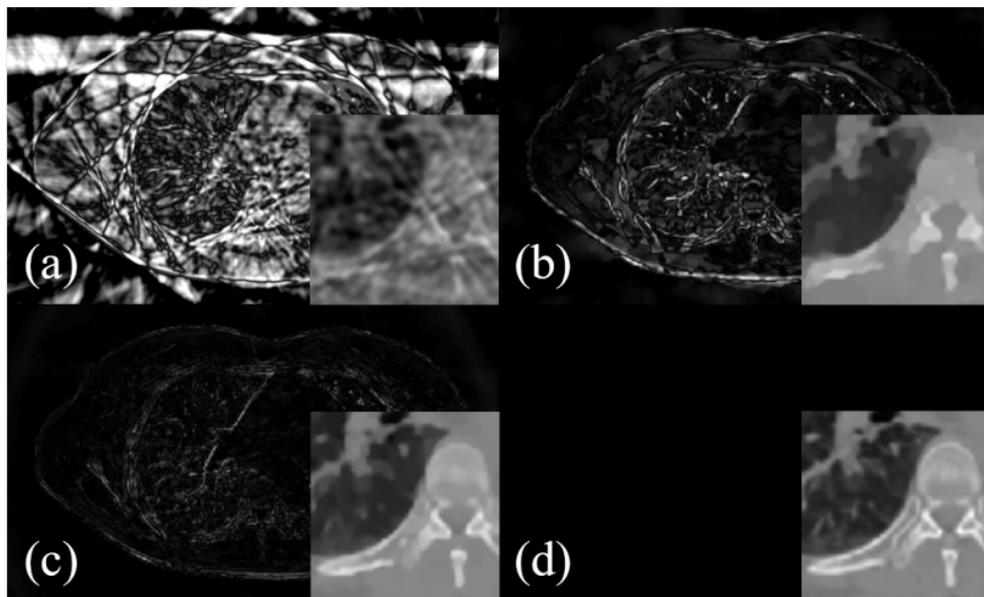
**Figure:** Reconstruction errors and zoom-in details for periodic breathing (Phase 3). (a) FBP; (b) spatial TV; (c) spatiotemporal TV; (d) 5D Method.

## Reconstruction results for non-periodic breathing



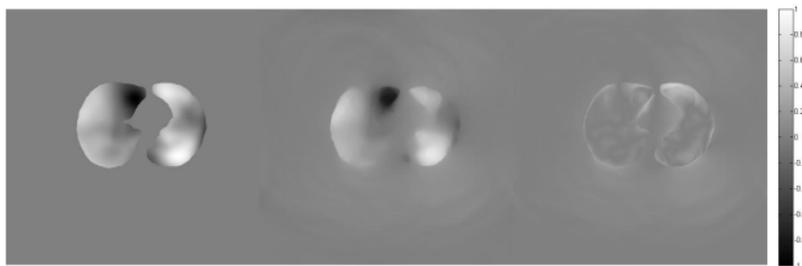
**Figure:** Reconstruction results for non-periodic breathing (Phase 3). (a) FBP; (b) spatial TV; (c) spatiotemporal TV; (d) 5D Method.

## Reconstruction errors for non-periodic breathing (Phase 3)

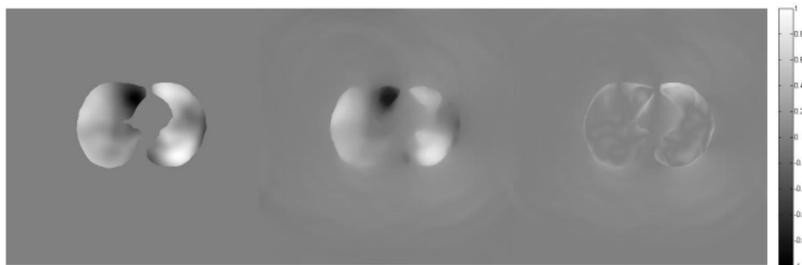


**Figure:** Reconstruction errors and zoom-in details for non-periodic breathing (Phase 3). (a) FBP; (b) spatial TV; (c) spatiotemporal TV; (d) 5D Method.

# Reconstructed motion vector



**Figure:**  $M_{1x}$  for periodic breathing. (Left) Ground truth; (Middle) Reconstructed; (Right) The error.



**Figure:**  $M_{1x}$  for non-periodic breathing. (Left) Ground truth; (Middle) Reconstructed; (Right) The error.

# Quantitative reconstruction errors

**Table:** Relative errors between reconstructed images and ground truth (unit in %)

Method	FBP	spatial TV	spatiotemporal TV	5D Method
Periodic	27.63	3.75	2.91	0.44
Non-periodic	28.14	3.86	2.96	0.45

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# Conclusions and future work

- Conclusions
  - developed a new 4DCBCT image reconstruction method incorporating the breath motion.
  - improved image reconstruction from standard and state-of-art methods for both periodic and non-periodic breathing.
- Future work
  - validation on 4D image (ongoing) and real data.
  - convergence analysis on the original model.

# Thank you

Thank you!



Jiulong Liu, Xue Zhang, Xiaoqun Zhang, Hongkai Zhao, Yu Gao, David Thomase, Daniel A Lowe, and Hao Gao.  
5D respiratory motion model based image reconstruction algorithm for 4D cone-beam computed tomography.  
*Inverse Problems*, Volume 31, Number 11, 2015