

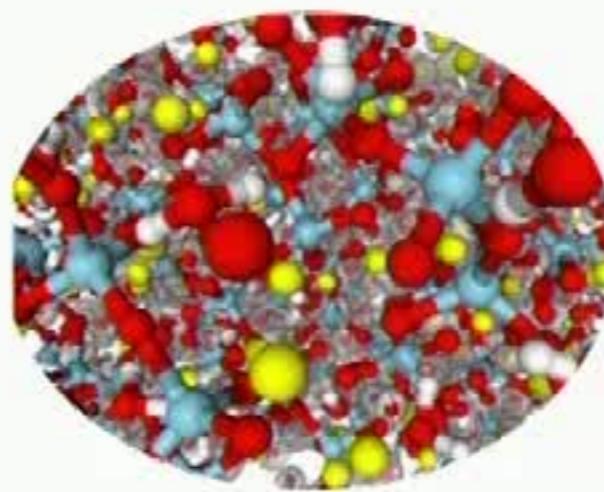
Materials discovery and scientific design by computation: what does it take?

Giulia Galli

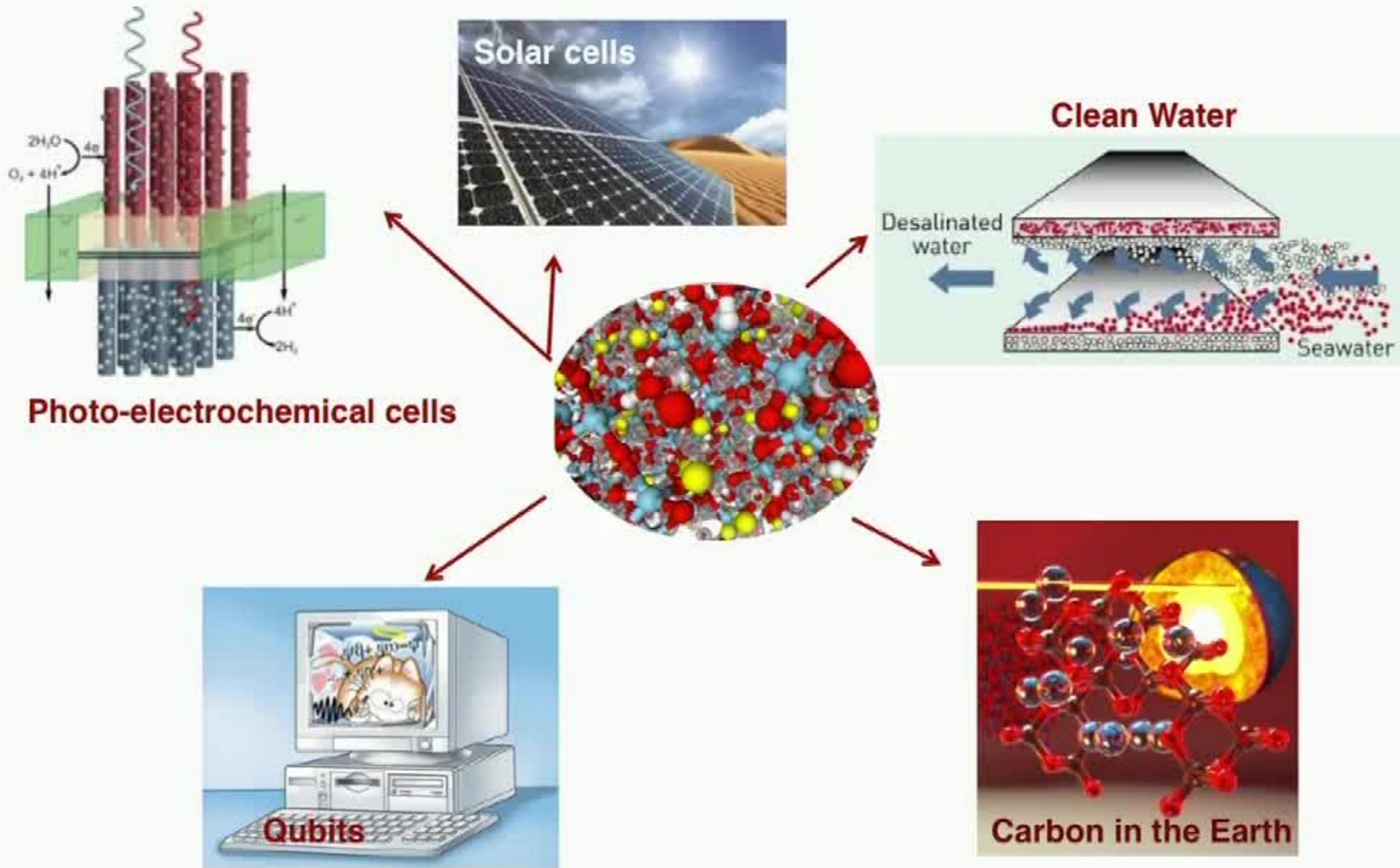
University of Chicago
& Argonne National Laboratory

2018 SIAM Annual Meeting, Portland, July 13th, 2018

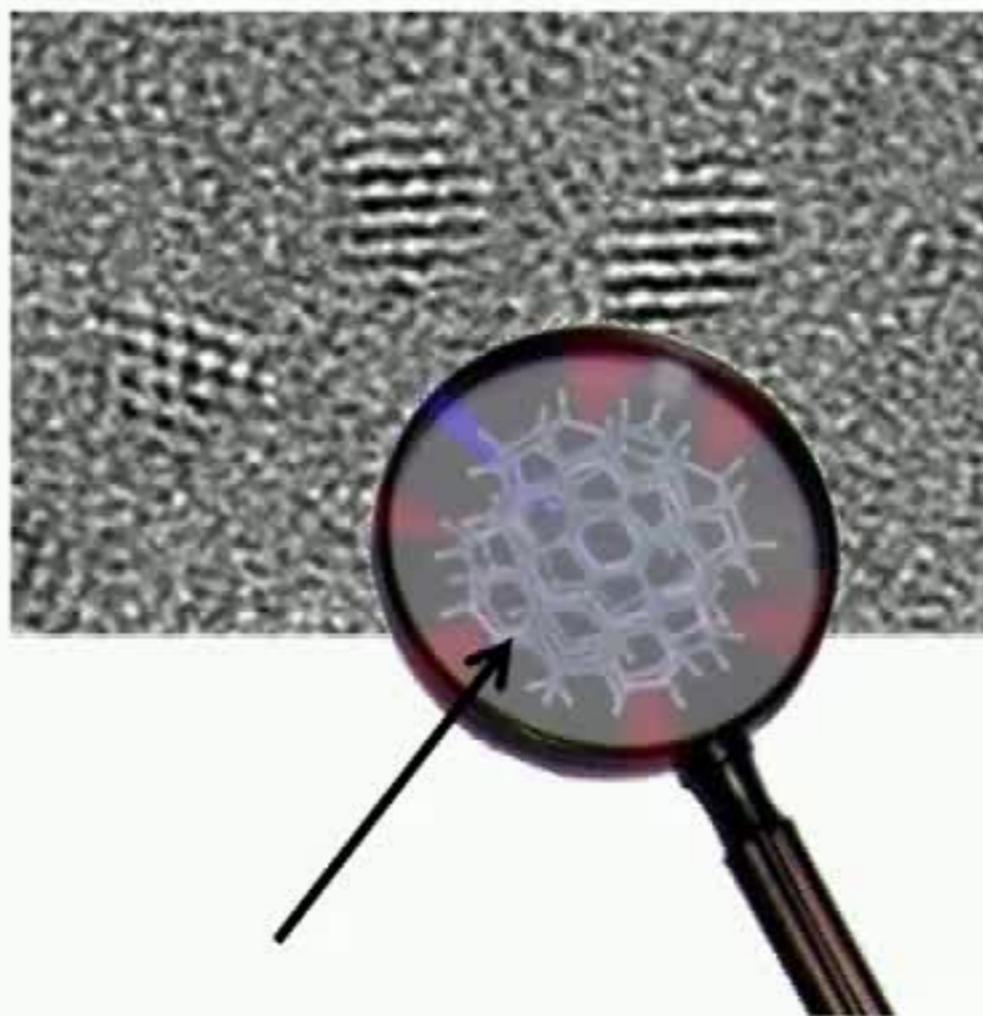
Materials: solids, liquids, nanostructures and combinations thereof



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At the microscopic scale



Nuclei: $\{R_i\}_i$

Electrons: $\{\psi_i\}_i$

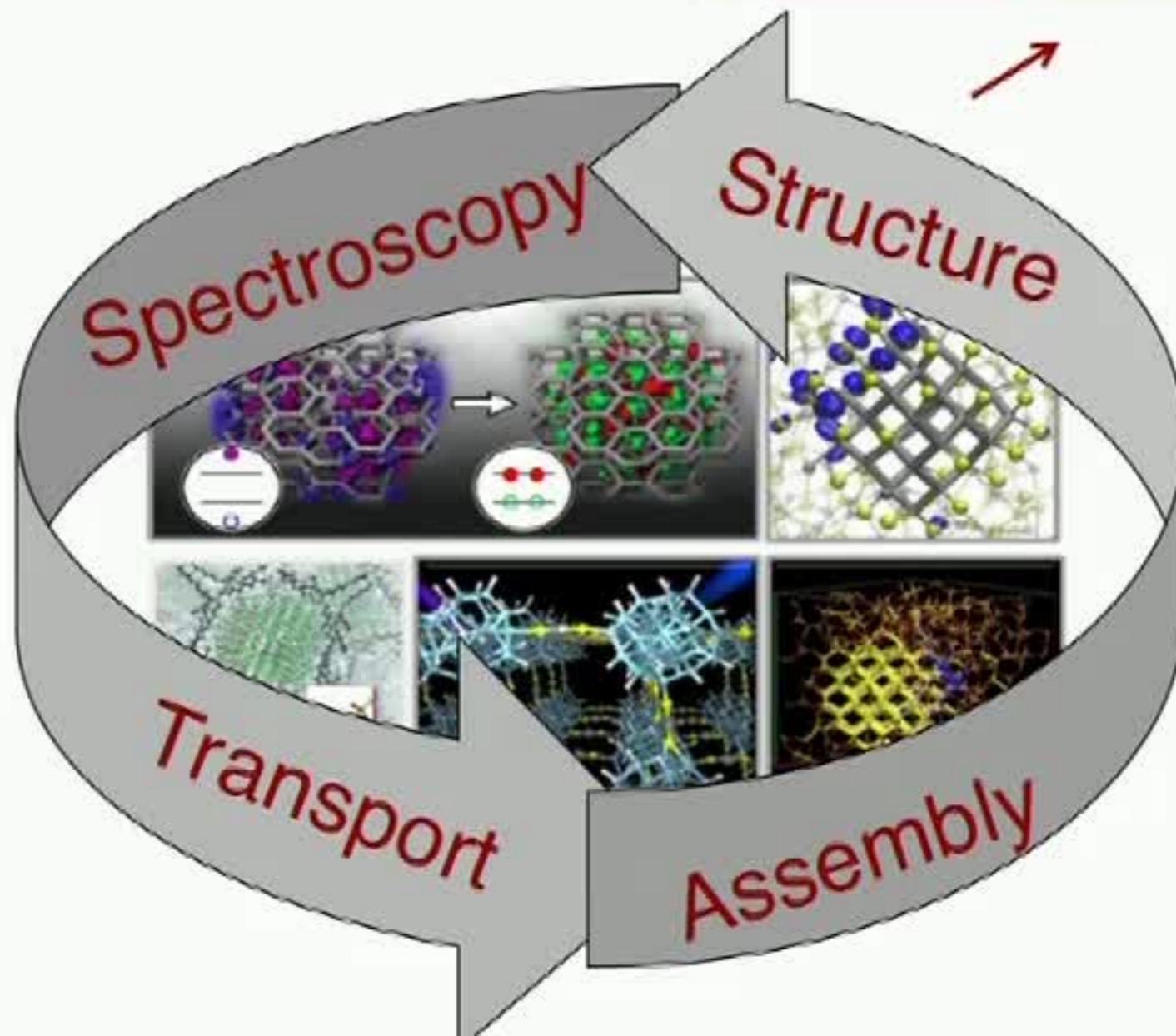
Materials are complex systems

- Realistic materials are heterogeneous systems
 - Understand and control the role of interfaces and defects
- Discovery and design of materials and emergent behaviors require the ability to compute multiple properties
 - Simulations at different length and time scales
- Desired & new functionalities of materials may arise from assembling building blocks
 - Design building blocks with targeted properties and assemble them with precision
- Desired & new functionalities may arise in metastable systems
 - Investigate out of equilibrium processes
 - Simulate assembly processes during synthesis

Integrated predictions of multiple properties

Integrated predictions of multiple properties

Geometrical arrangements of atoms;
thermodynamic properties from
approximate solutions of the
Schroedinger equation



Quantum mechanics: approximations and computation

The approximations:

- Mean-field theories: $E_0(\{\mathbf{R}_I\}) = \text{Min}_{n(\mathbf{r})} E_{\{\mathbf{R}\}}[n]$
 - Density Functional Theory
 - Local density approximations
 - Hartree-Fock and Quantum Chemistry
 - Stochastic approaches:
 - Quantum Monte Carlo
 - The fixed node approximation
-
- The diagram illustrates the timeline of quantum mechanics approximations. A central yellow box contains the mean-field theory equation. Three arrows point from this box to three separate time boxes: one pointing left to ~1965, one pointing right to ~1985-1990, and one pointing down to ~1980. The ~1985-1990 box has a curved arrow pointing back towards the mean-field theory box.

Quantum mechanics: approximations and computation

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~ 1965

~ 1985-1990

~ 1980

The ability to compute:

In the 1990s, Density Functional theory and quantum chemistry are massively used in physics and chemistry, as the results of key algorithmic and computational developments
Quantum Monte Carlo is applied to “real materials”.

Quantum mechanics: approximations and computation

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— Local density approximations
- Hartree-Fock and Quantum Chemistry
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~ 1965

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Molecular Dynamics
(MD) with forces from
DFT → ***ab-initio* MD**:

Dynamical and
thermodynamic
properties from first
principles

The ability to compute:

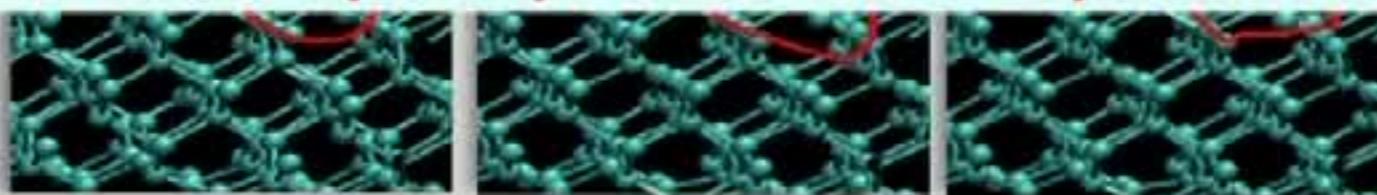
algorithmic and computational developments

- All-electron solutions to DFT equations
- *Ab-initio* Molecular Dynamics (Car-Parrinello method)
- Linear scaling methods within DFT and QMC
- Software development for HPC architectures

Ab initio Molecular Dynamics



From first principles: no fit to experiments



$$\ddot{\mathbf{M}_I \mathbf{R}_I} = \mathbf{F}_I$$
$$\mathbf{F}_I = -\nabla_I \mathbf{E}(\{\mathbf{R}_I(t)\})$$



E from Density Functional Theory



Solve set of **N** coupled, non linear partial differential equations self-consistently, using iterative algorithms, subject to orthonormality constraints. **N** = # of electrons

Complexity of *ab initio* MD: Kohn Sham equations

Solve set of N coupled, non linear partial differential equations self-consistently, using iterative algorithms, subject to orthonormality constraints. $N = \#$ of electrons

$$-\Delta\varphi_i + V(\rho, \mathbf{r})\varphi_i = \varepsilon_i\varphi_i \quad i = 1 \dots N_{\text{el}}$$

$$V(\rho, \mathbf{r}) = V_{\text{ion}}(\mathbf{r}) + \int \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' + V_{\text{XC}}(\rho(\mathbf{r}), \nabla\rho(\mathbf{r}))$$

$$\rho(\mathbf{r}) = \sum_{i=1}^{N_{\text{el}}} |\varphi_i(\mathbf{r})|^2$$

$$\int \varphi_i^*(\mathbf{r}) \varphi_j(\mathbf{r}) d\mathbf{r} = \delta_{ij}$$

Condensed Matter Physics

Physical Chemistry

Hybrid Functionals (*)



The cost of solving the Kohn-Sham equations is eventually dominated by orthogonalization ($O(N^3)$)

Why finding eigensolvers is difficult

- Non linear fix-point problem solved using iterative methods to find eigenvalues/eigenvectors. May be used in inner loops (fixed potential), but:
 - Solutions are required at each MD time step (tens of thousands)
 - For ~~matrix~~ system during MD) the lowest invariant subspace is needed (linear extrapolation technique have to guess starting point of eigenvectors)
 - Full procedure at the next time step eigenvalues not needed in early stages. New self-consistent iterations may vary at each MD step
 - Threshold for convergence depends on outer loop (specifically, on Large basis sets (millions of basis functions))
 - Parallel tools of codes of eigensolvers are needed compatible with different hardware platforms have application, thus paying substantial gaps are not known beforehand
 - Cost of matrix-vector product is cubic in the number of electrons
- Existing eigensolvers (Scalapack, ELPA) are used to solve the Ritz problem, once the invariant subspace has been determined

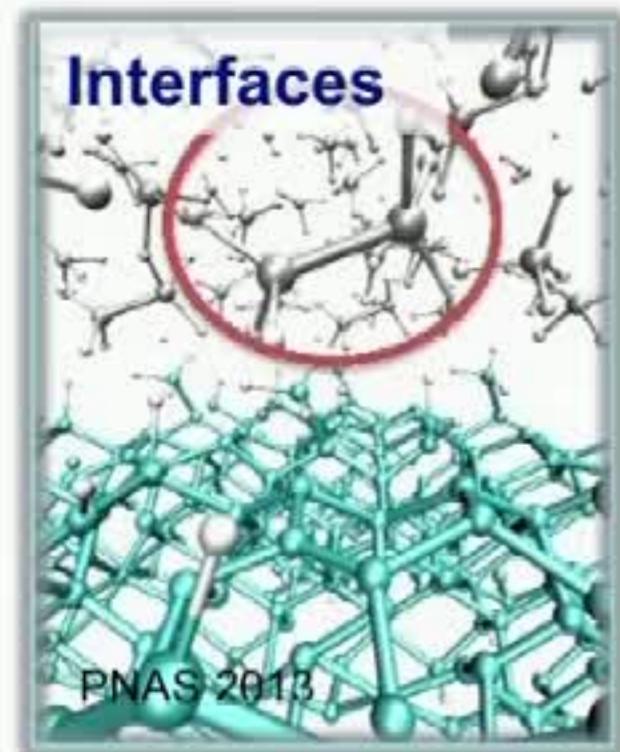
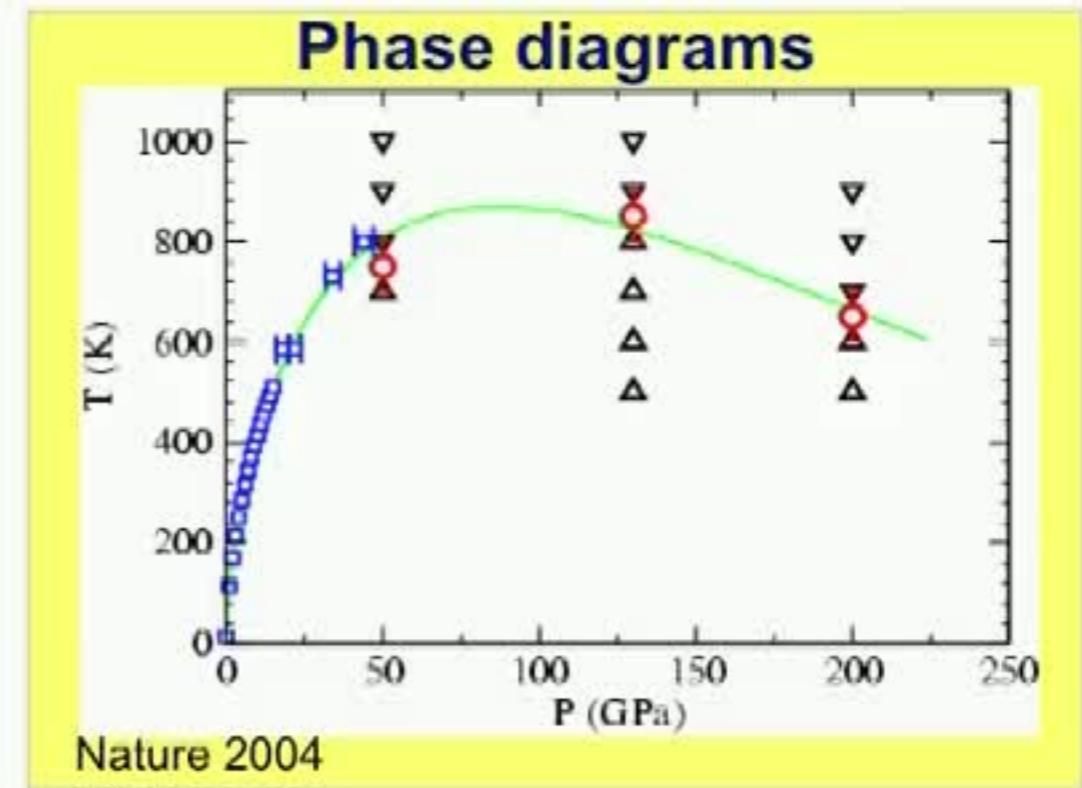
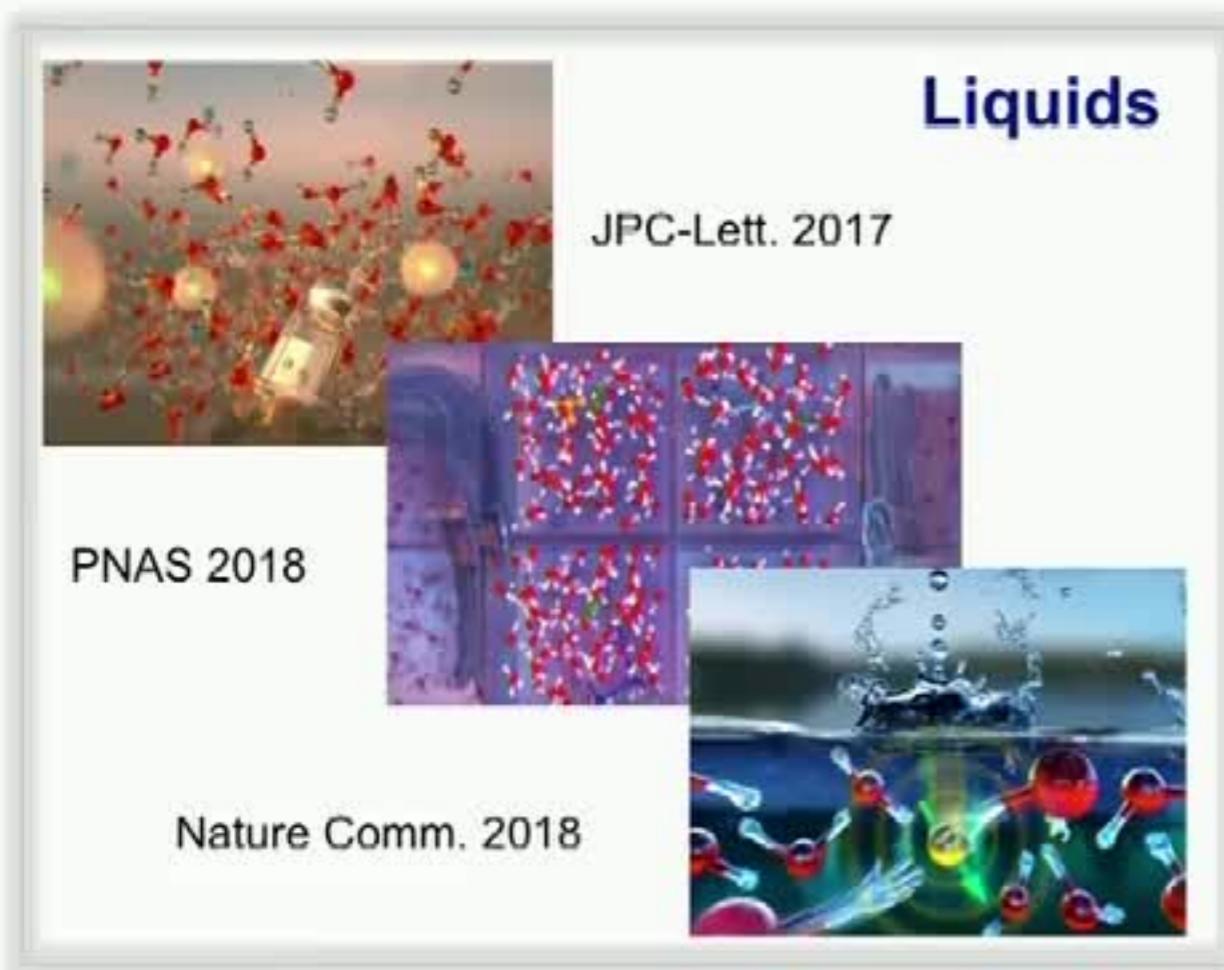
Some examples Why using existing eigensolvers is difficult

Existing eigensolvers may be used in inner loops (fixed potential), but:

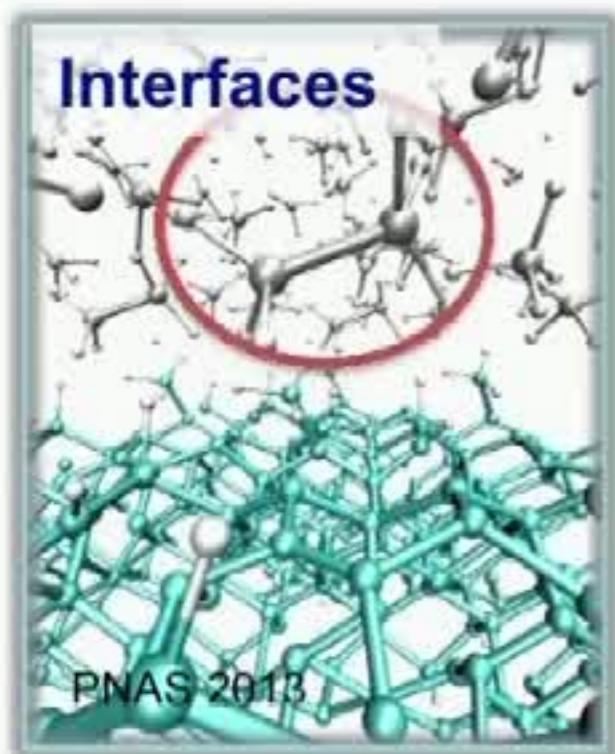
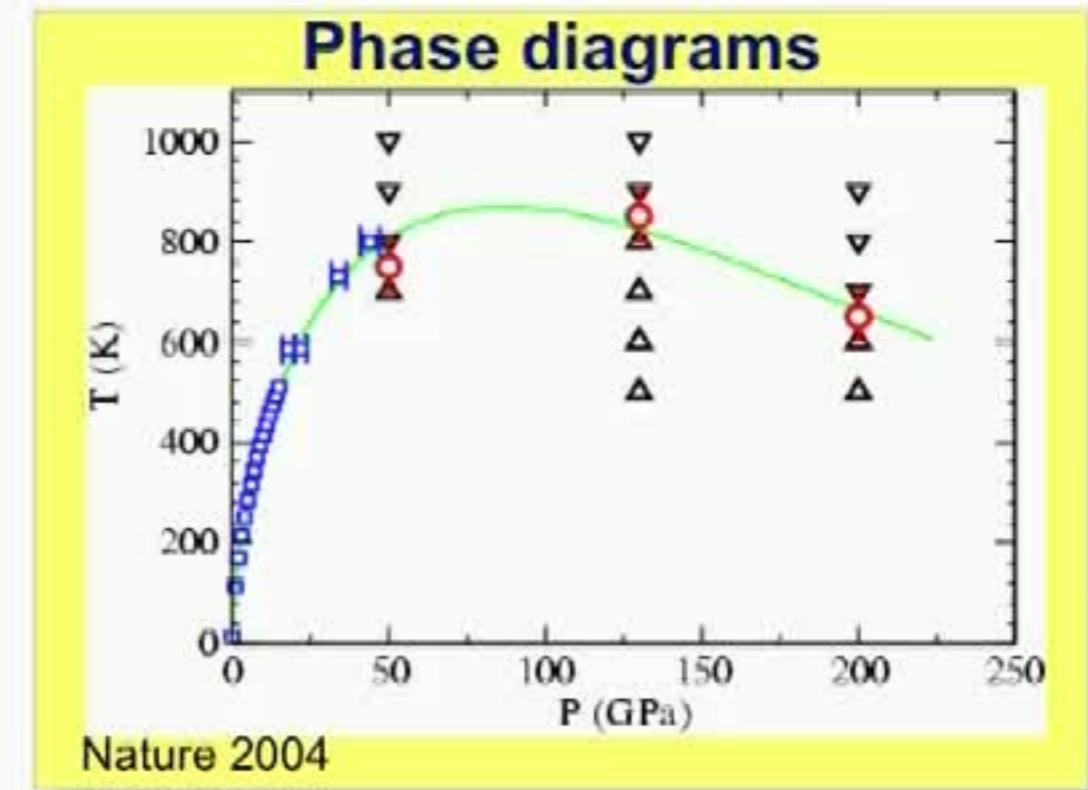
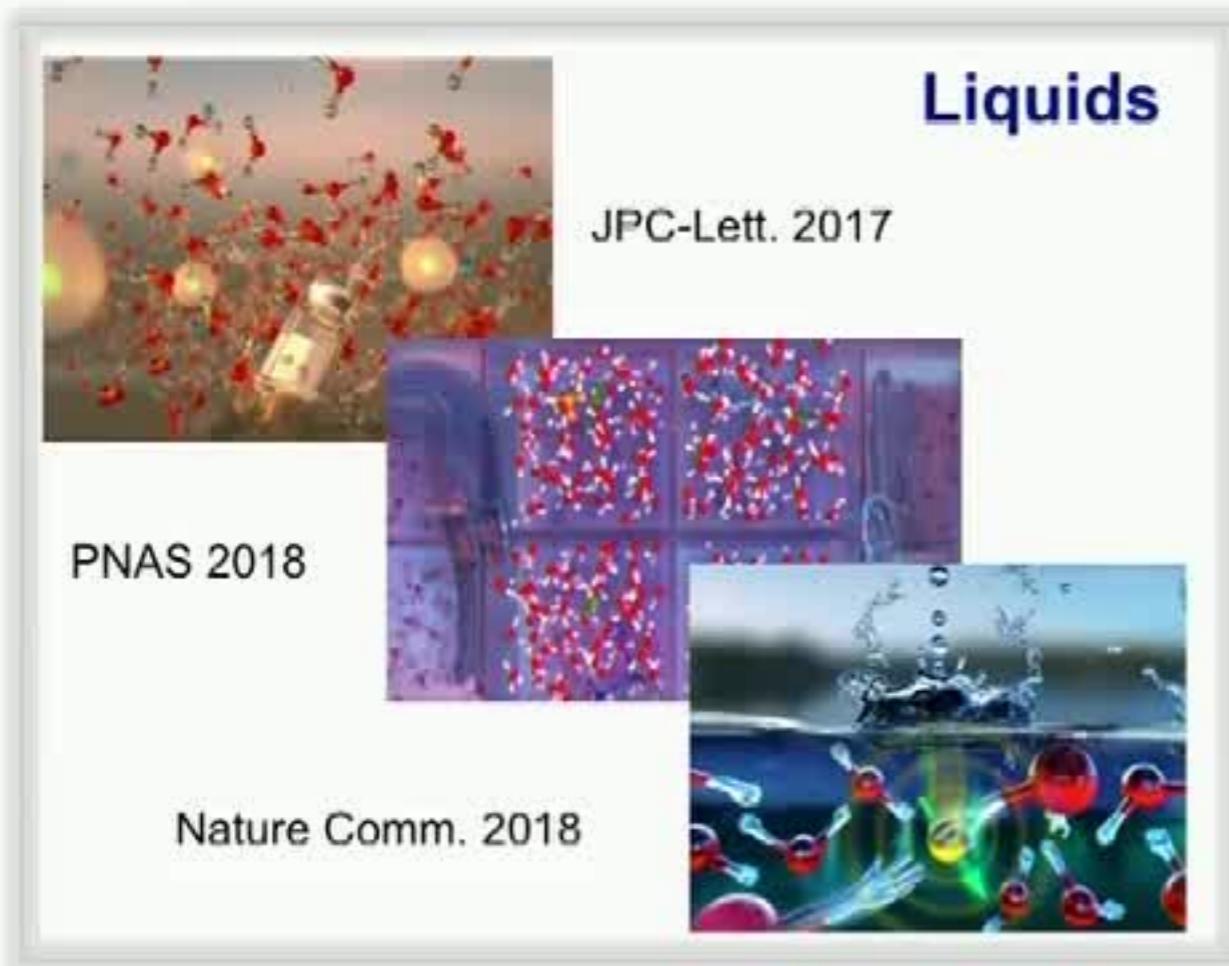
- PNAS 2018: For many systems only the lowest invariant subspace is needed (linear combinations of eigenvectors, not individual eigenvectors)
- Nature Comm. 2018: Full accuracy of eigenvectors and eigenvalues not needed in early stages of self-consistent iterations
 - Threshold for convergence depends on outer loop (specifically, on the value of a functional of eigenvectors)
- Parallel data layout of existing eigensolvers not always compatible with data layout of other parts of the application, implying substantial data transfer

Existing eigensolvers (Scalapack, ELPA) are used to solve the Ritz problem, once the invariant subspace has been determined

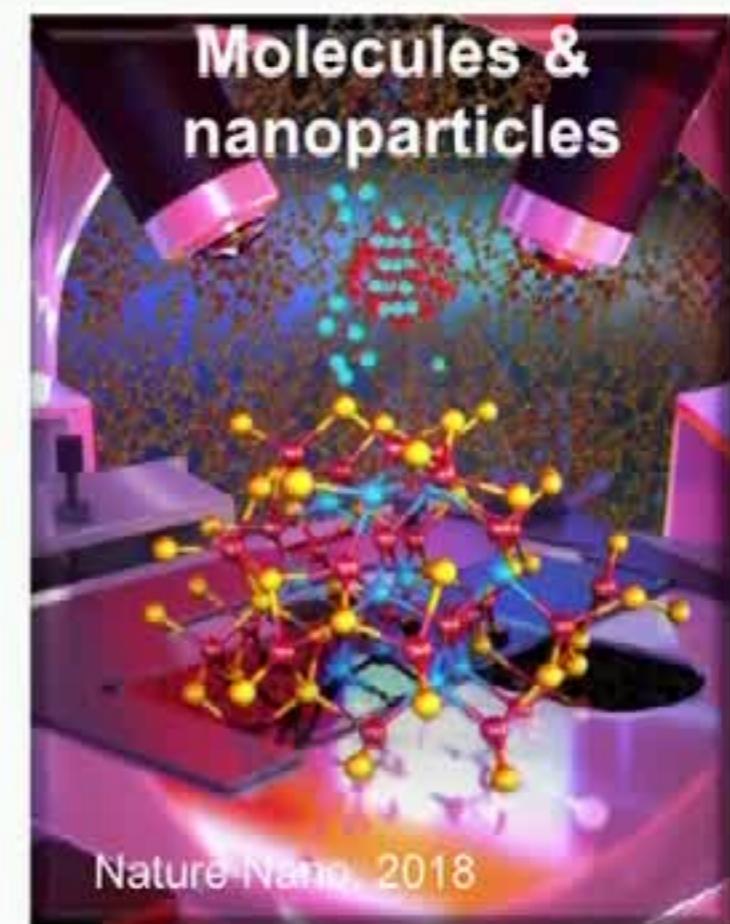
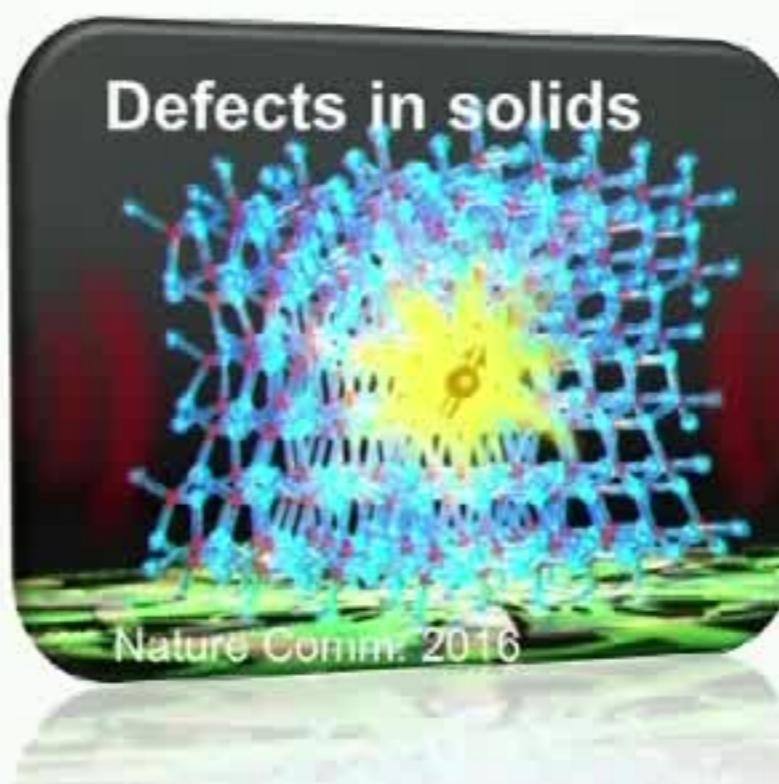
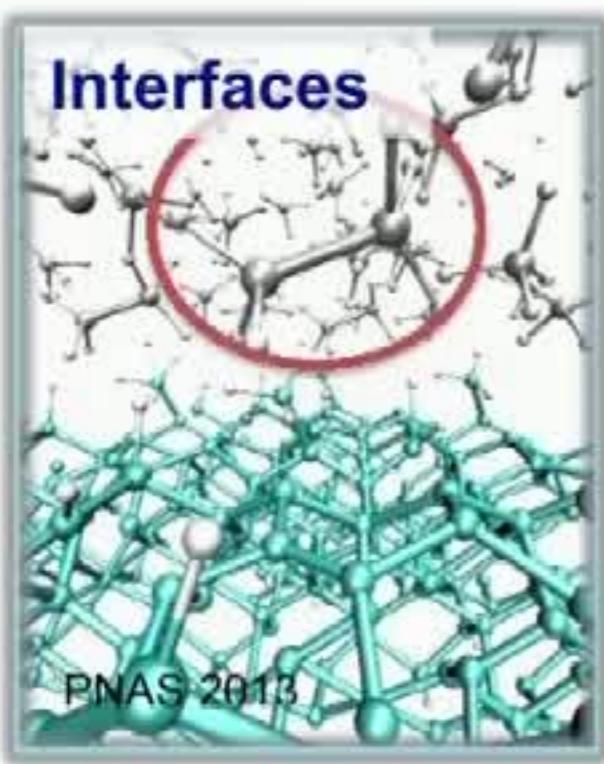
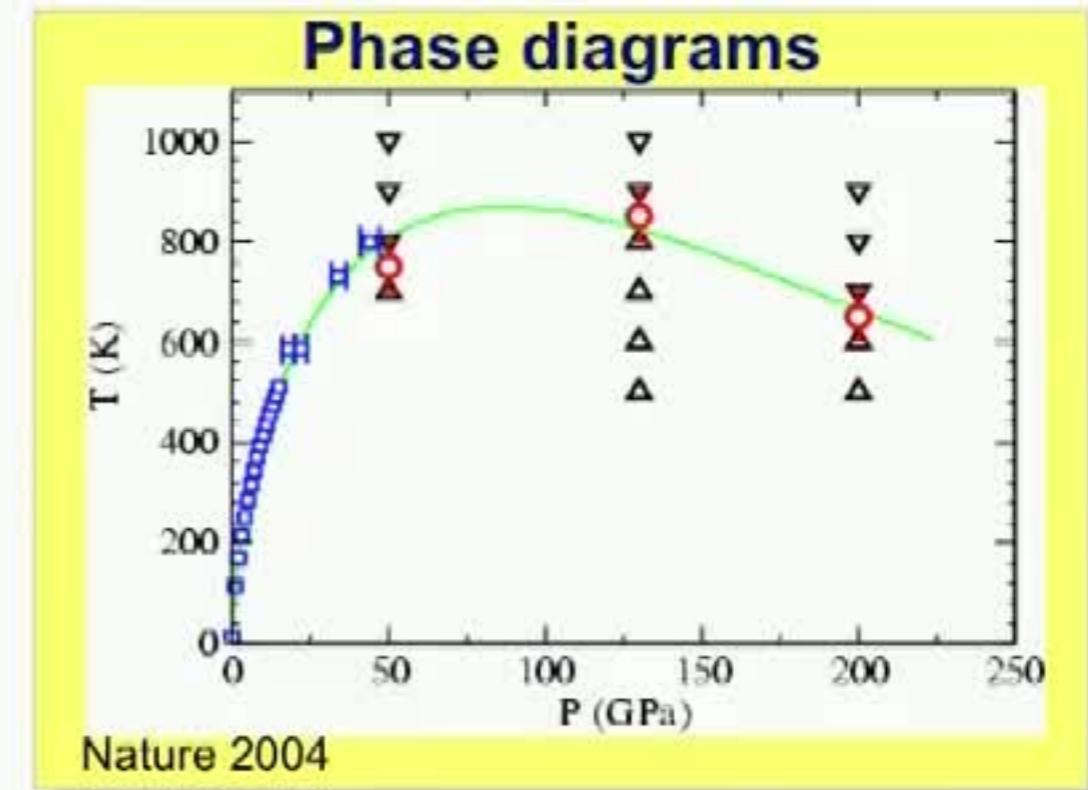
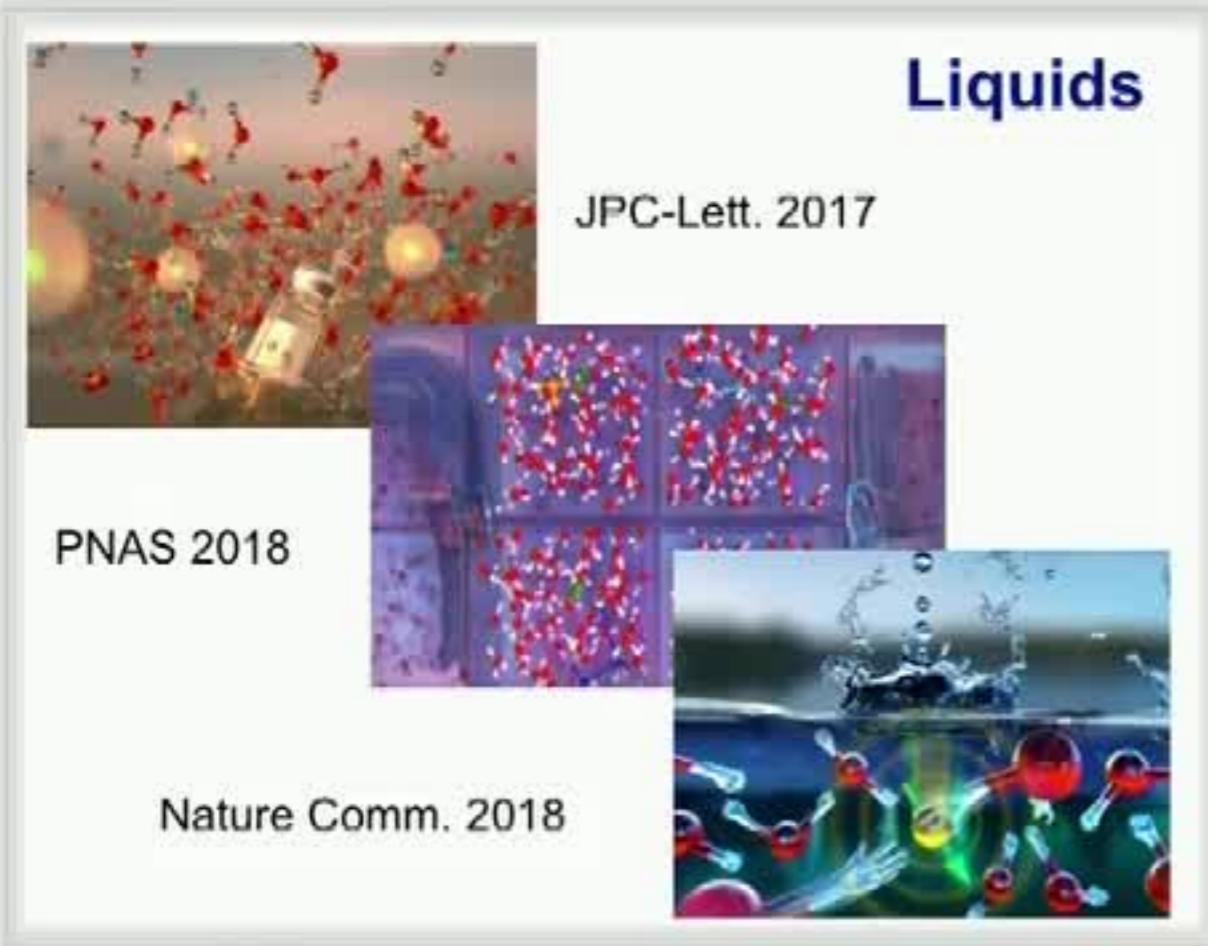
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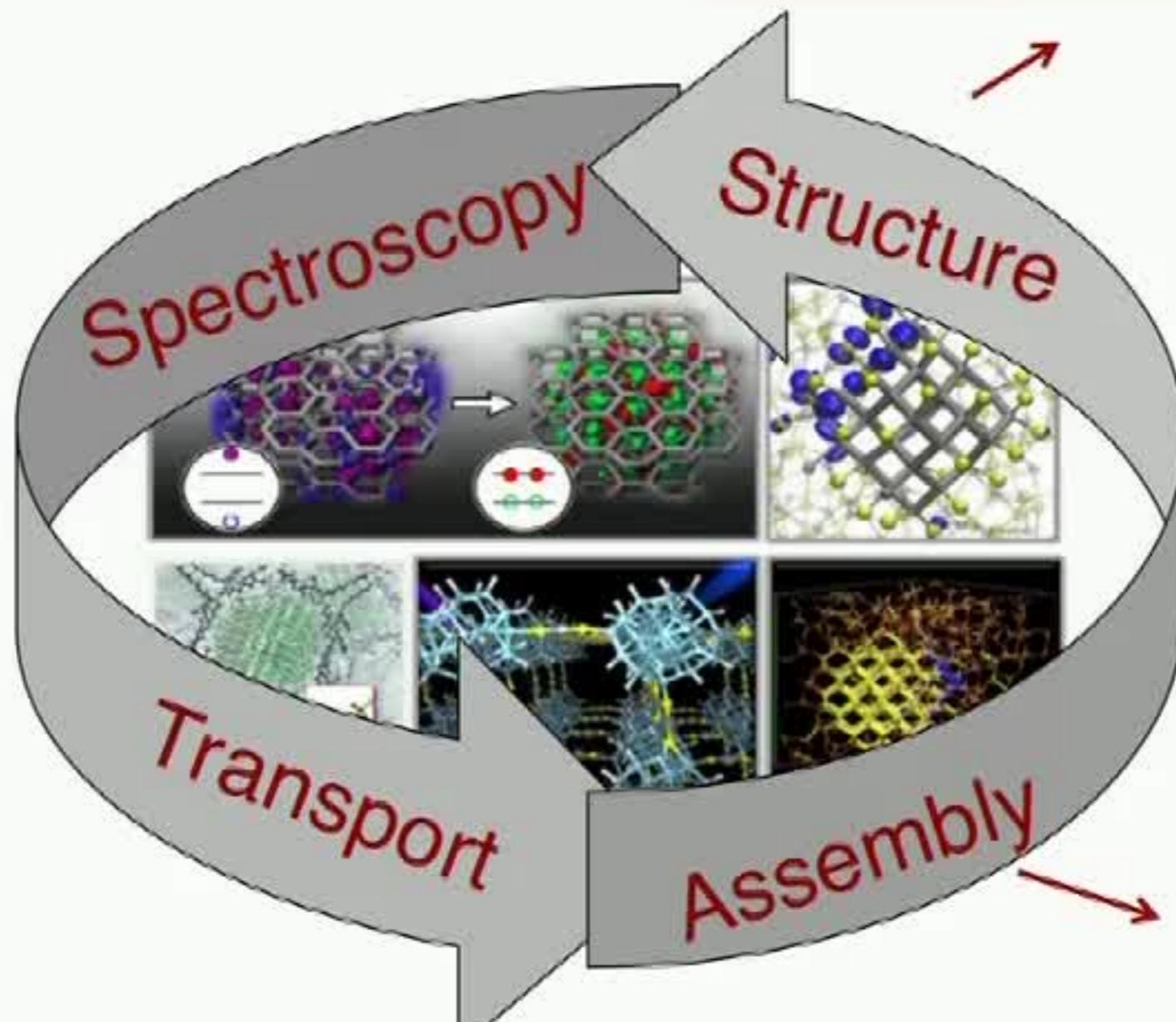


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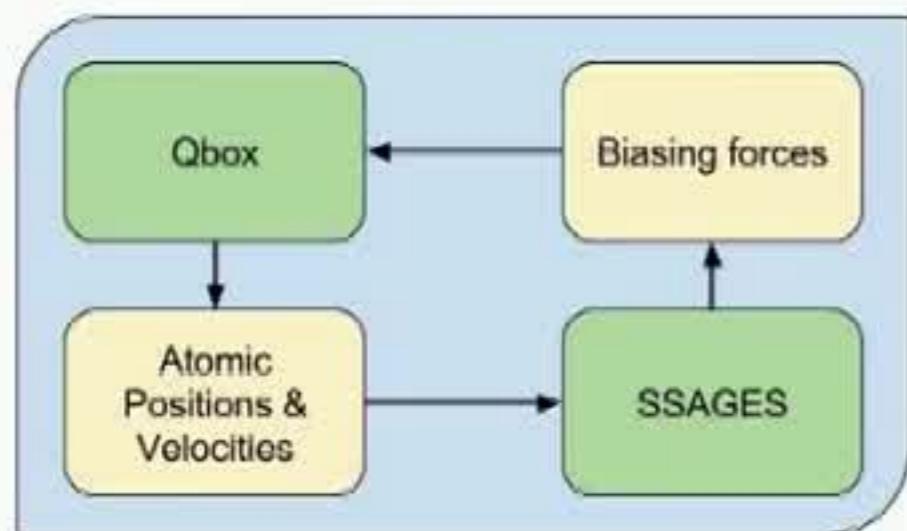
Integrated predictions of multiple properties

Geometrical arrangements of atoms;
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Schroedinger equation



Advanced sampling
techniques to assemble
components/constituents out
of equilibrium

Advanced sampling and ab initio MD

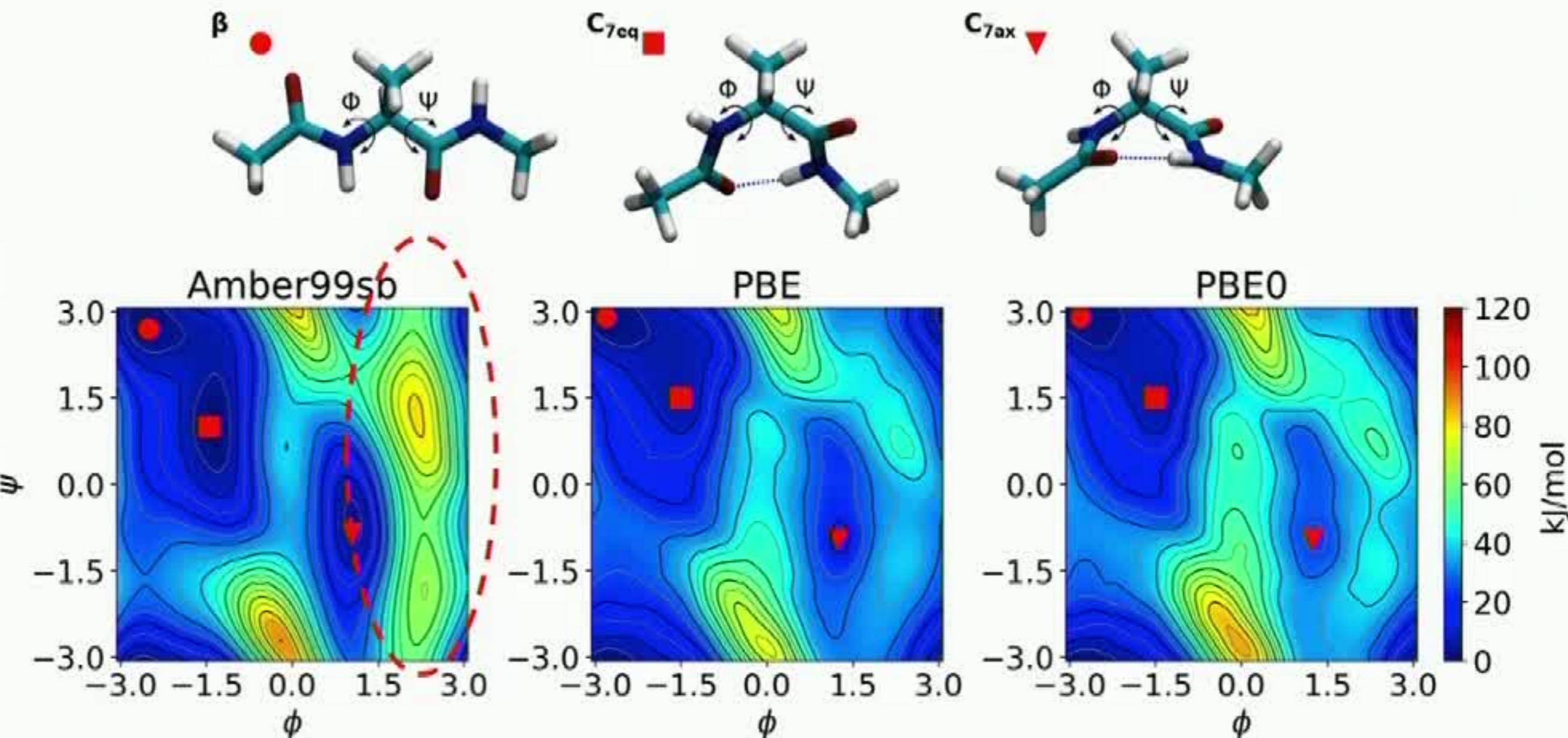


Qbox-SSAGES: Advanced sampling coupled with first-principles MD with DFT and hybrid DFT

Whitmer-Gygi-de Pablo-Galli collaboration
JCTC 2018

The coupled **Qbox- SSAGES** framework permits a hierarchical coupling. High level of theory (hybrid-functional) calculations can be restarted from previously converged lower level of theory (GGA) calculations.

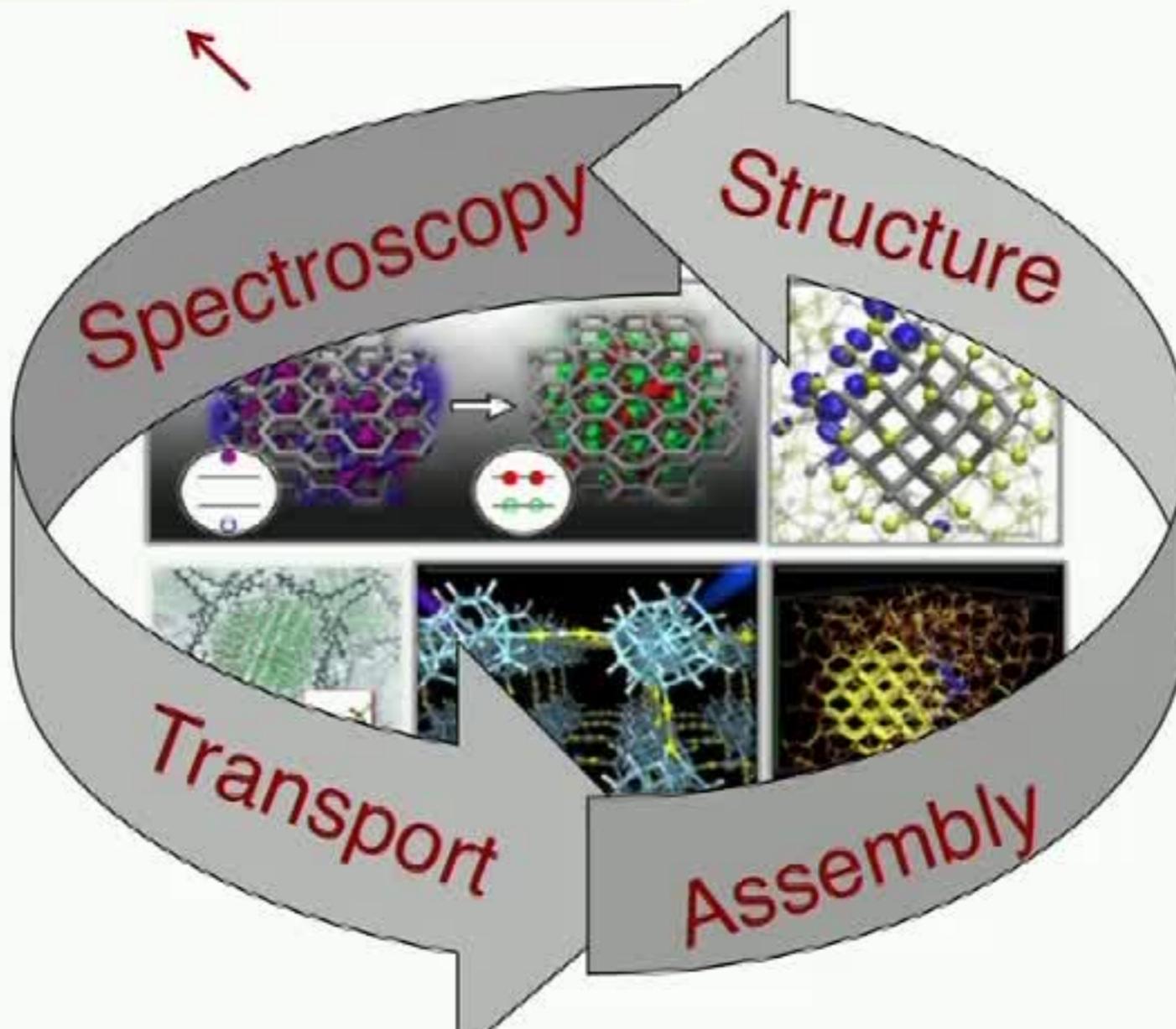
Free energy calculations of a peptide



Significant deviation in the free energy surfaces obtained at the DFT level (PBE and PBE0) from that calculated with **Amber99sb**

Integrated predictions of multiple properties

Interaction with electromagnetic fields (light)
from the **coupling of Maxwell and
Schroedinger equations** using linear
response and perturbation theory



Spectroscopy on “MD samples”

Use trajectories to compute complex electronic properties from **many body perturbation (MBPT)** theory → Electronic properties at finite T w/statistical errors

$$\left(\hat{T} + \hat{V}_{ion} + \hat{V}_H + \hat{V}_{xc} \right) |\psi_n\rangle = \varepsilon_n |\psi_n\rangle \quad \text{DFT}$$

$$\left(\hat{T} + \hat{V}_{ion} + \hat{V}_H + \hat{\Sigma}(E_n^{QP}) \right) |\psi_n^{QP}\rangle = E_n^{QP} |\psi_n^{QP}\rangle \quad \text{MBPT}$$

H. Wilson, F. Gygi, and G. G., PRB 2008; H.Wilson, D.Lu, F.Gygi and G.G., Phys.Rev.B 2009

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Maxwell equations for the external field

- $\mathbf{D} = \mathbf{E} + 4\pi\mathbf{P}$ \mathbf{D} = external field, independent of the material

$$\nabla \cdot \mathbf{D} = 4\pi Q n_{\text{ext}} \quad \nabla \times \mathbf{E}(t) = -\frac{1}{c} \frac{d\mathbf{B}}{dt}$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{B}(t) = \frac{4\pi}{c} \mathbf{j}_{\text{ext}} + \frac{1}{c} \frac{d\mathbf{D}}{dt}$$

- Relation between current and **total field** and density and **total field**

$$\mathbf{j}_{\text{int}}(\mathbf{r}, t) = \int d\mathbf{r}' \int^t \sigma(\mathbf{r}, \mathbf{r}', t-t') \mathbf{E}(\mathbf{r}', t')$$

$$\mathbf{j}_{\text{int}}(\mathbf{r}, \omega) = \int d\mathbf{r}' \sigma(\mathbf{r}, \mathbf{r}', \omega) \mathbf{E}(\mathbf{r}', \omega)$$

$$\mathbf{D}(\mathbf{r}, \omega) = \int d\mathbf{r}' \epsilon(\mathbf{r}, \mathbf{r}', \omega) \mathbf{E}(\mathbf{r}', \omega)$$

$$\mathbf{E}(\mathbf{r}, \omega) = \int d\mathbf{r}' \epsilon^{-1}(\mathbf{r}, \mathbf{r}', \omega) \mathbf{D}(\mathbf{r}', \omega)$$

- Response to the **total field E**

$$\mathbf{E}(\mathbf{r}, \mathbf{r}', \omega) = \mathbf{E}_0(\mathbf{r}, \mathbf{r}', \omega) + \frac{4\pi i}{\omega} \sigma(\mathbf{r}, \mathbf{r}', \omega)$$

- Response to the **external field D**

$$\rightarrow \epsilon^{-1}(\mathbf{r}, \mathbf{r}', \omega)$$

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Hedin equations

Hedin proposed to express Σ in terms of the **dynamically screened Coulomb potential**, instead of the bare Coulomb potential

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Use an expression in terms of Kohn-Sham electronic states from density-density response functions

$$E_n^{QP} = \varepsilon_n^{KS} + \langle \psi_n^{KS} | \hat{\Sigma}(E_n^{QP}) - \hat{V}_{xc} | \psi_n^{KS} \rangle$$

Calculations of dielectric matrices: spectral decomposition & DFPT

$$\tilde{\epsilon}^{-1} = \sum_{i=1}^{\text{Neig}} \tilde{\phi}_i \left(\frac{\lambda_i}{1 - \lambda_i} + 1 \right) \tilde{\phi}_i^H$$

- Calculation of **empty electronic states**, **calculation and storage of full dielectric matrix** and **inversion** of $\tilde{\epsilon}$ are **avoided**
- Scaling: $N_{\text{eig}} N_{\text{pw}} N_v^2$ (instead of $N_{\text{pw}}^2 N_v N_c$)
- Efficient evaluation of $\tilde{\epsilon}^{-1}$ at different \mathbf{q} points and at different MD steps is possible
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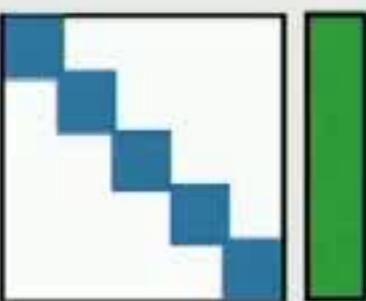
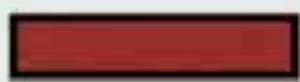
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Low rank decomposition of the screened Coulomb interaction W

In **Hartree-Fock**

$$\langle \psi_i \psi_j | \frac{1}{|\mathbf{r} - \mathbf{r}'|} | \psi_k \psi_l \rangle$$



Reciprocal
space

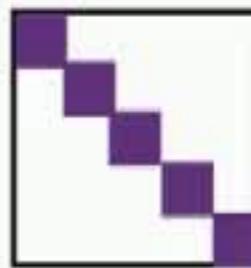
In **GW**

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Reciprocal
space

$$W = \sum_{\alpha} |\alpha\rangle \lambda_{\alpha} \langle \alpha| \quad \text{Low-rank decomposition}$$



Separable form

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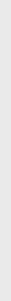
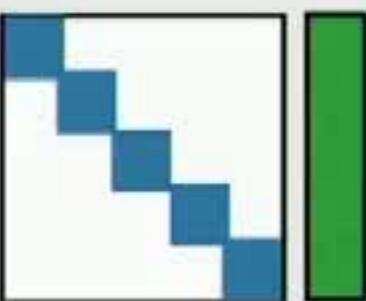
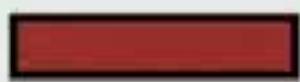
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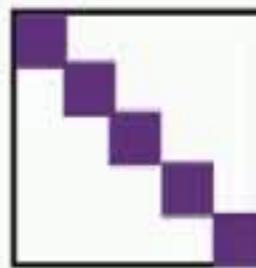
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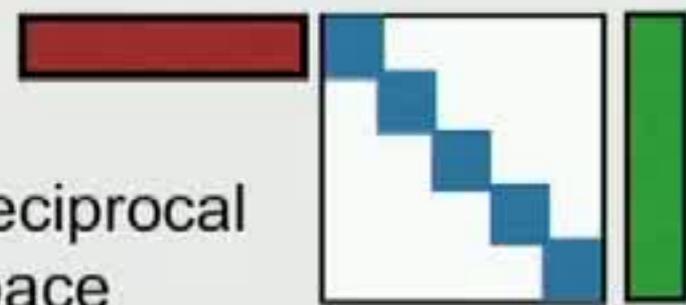
Separable form

Low rank decomposition of the screened Coulomb interaction \mathbf{W}

In Hartree-Fock

$$\langle \psi_i \psi_j | \frac{1}{|\mathbf{r} - \mathbf{r}'|} | \psi_k \psi_l \rangle$$

Reciprocal space



In GW

$$\langle \psi_i \psi_j | W(\mathbf{r}, \mathbf{r}') | \psi_k \psi_l \rangle$$

Reciprocal space



Example : 64 water molecules

Direct space

size $\sim (250)^3 \times (250)^3$

Difficult to truncate

Reciprocal space

size $\sim (1'000'000) \times (1'000'000)$

Could be truncated, full matrix

Eigenpotential space

size $\sim (1'000) \times (1'000)$

$$W = \sum_{\alpha} |\alpha\rangle \lambda_{\alpha} \langle \alpha|$$

Low-rank decomposition



Separable form

Summary of GW algorithm

- Iterative diagonalization of the dielectric matrix ⁽⁺⁾ →
- Low rank decomposition of W
- DFPT ^(*) based projection techniques to compute G
- Eigenpotentials of $\tilde{\epsilon}$ as basis set also at finite frequency ⁽⁺⁺⁾
- Lanczos algorithm to compute frequency dependence of dielectric matrix in parallel
- Contour deformation technique for frequency integration ^(&)

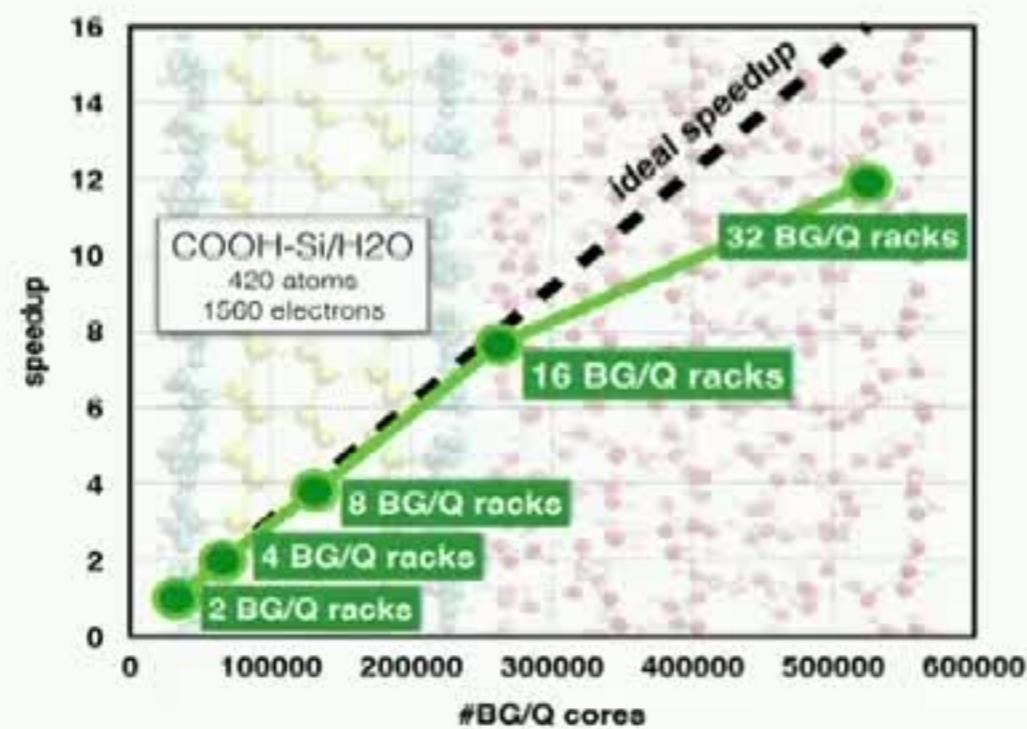
(*) S. Baroni, et al., Rev. Mod. Phys., 73:515, 2001.

(+) H. Wilson, F. Gygi, and G. G., PRB 2008; H.Wilson, D.Lu, F.Gygi and G.G., Phys.Rev.B 2009

(++) H. V. Nguyen, T.A. Pham, D.Rocca and GG Phys. Rev. B (R) 2012; T.A.Pham, H.V.Nguyen, D.Rocca and GG, Phys.Rev.B 2013

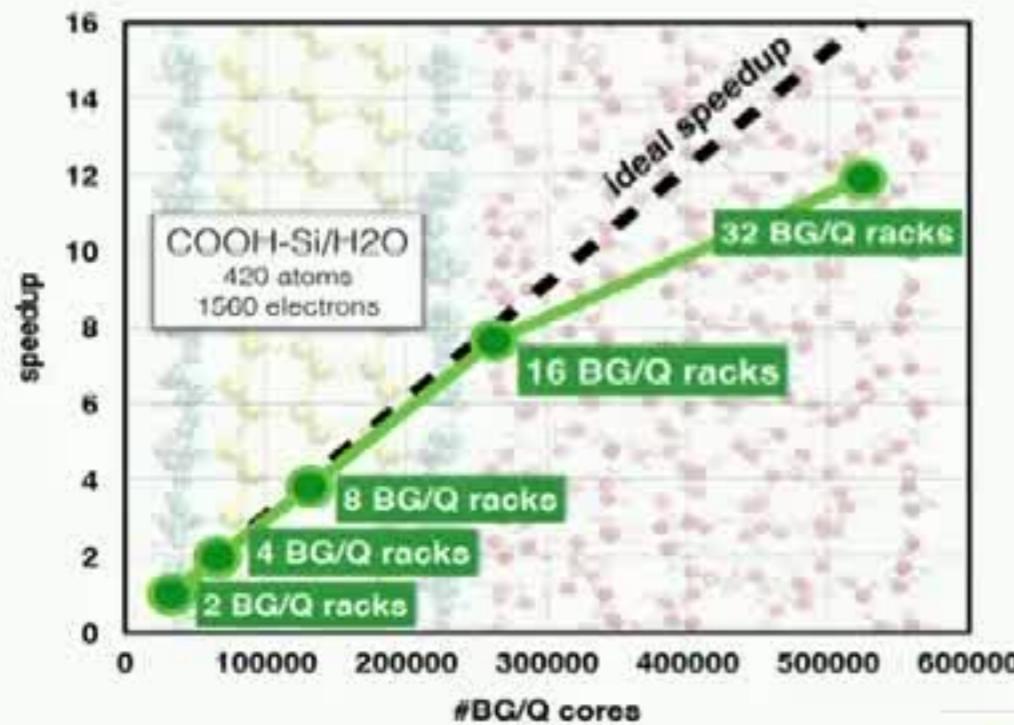
(&) M.Govoni & GG, J. Chem. Theory Comput., (2015)

Implementation of GW algorithm



- Eliminated summations over **empty states** using DFPT
- W made **separable** using the eigenvectors of the dielectric matrix as basis set; number of eigenpotentials controls the **accuracy** of the method.
- Greatly reduced **pre-factors** of $O(N^4)$ scaling

Implementation of GW algorithm



Range of applicability

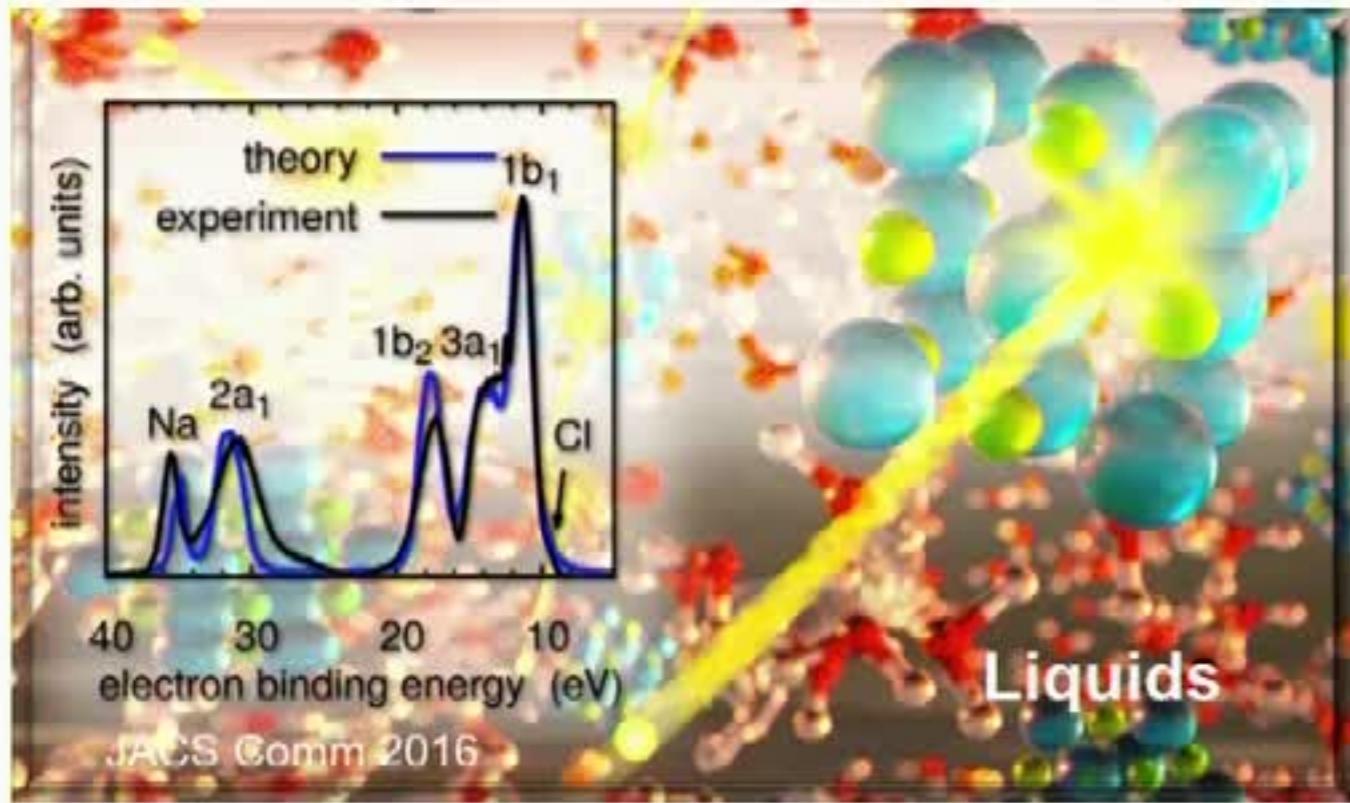
Ordered and disordered **solids**,
defective materials, **liquids**,
molecular crystals,
nanostructures, interfaces



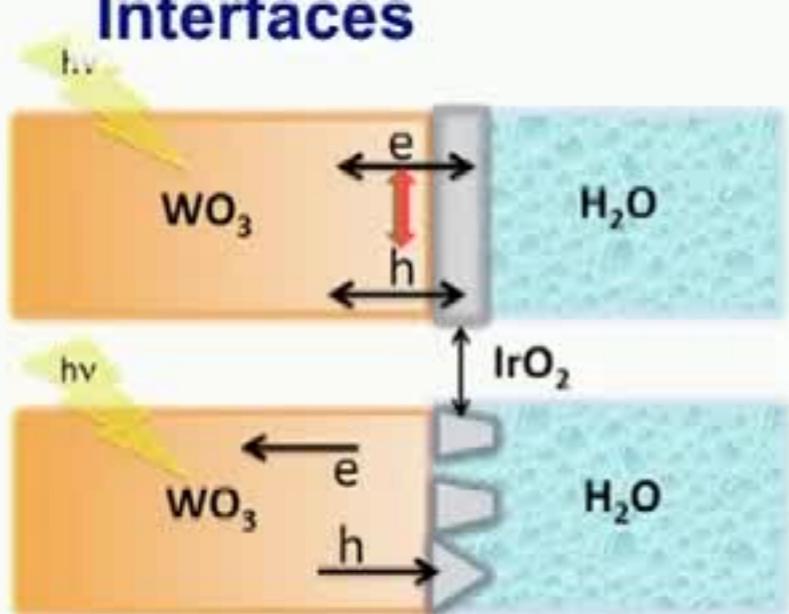
Govoni&GG, JCTC 2015, JCTC 2018

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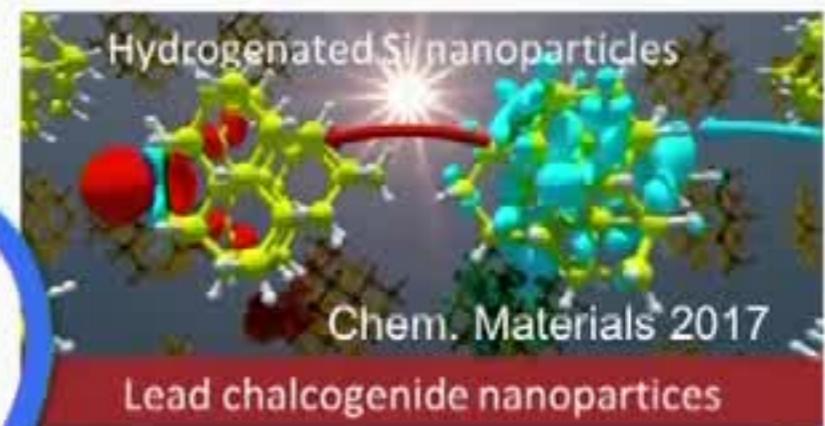
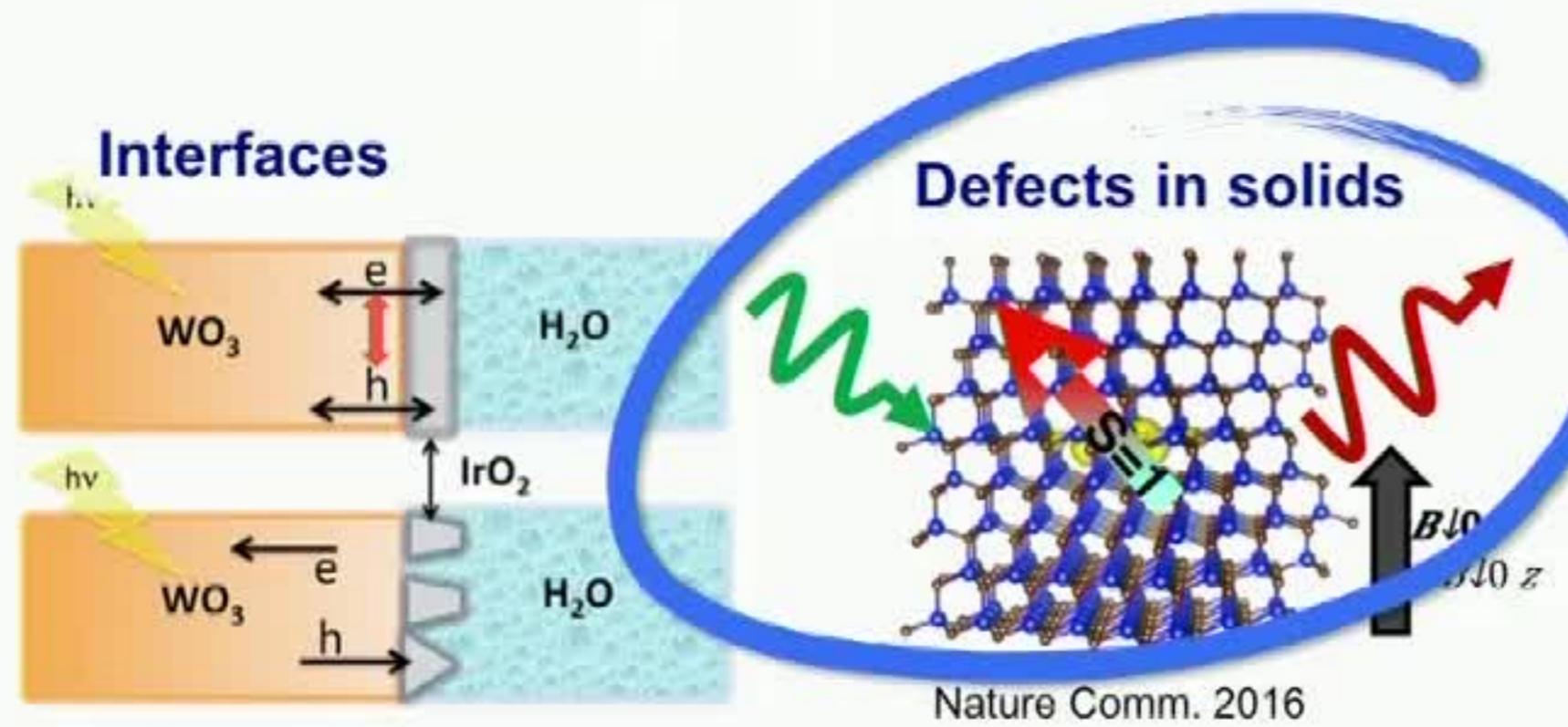
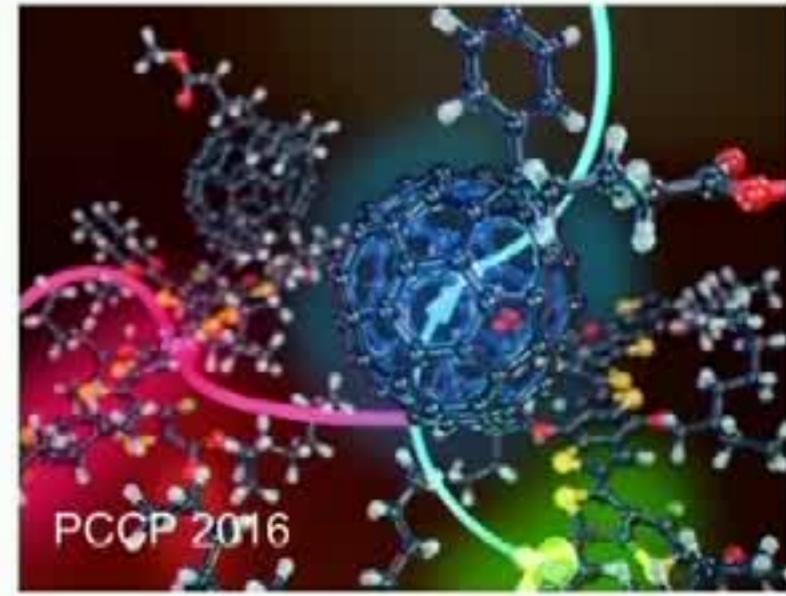
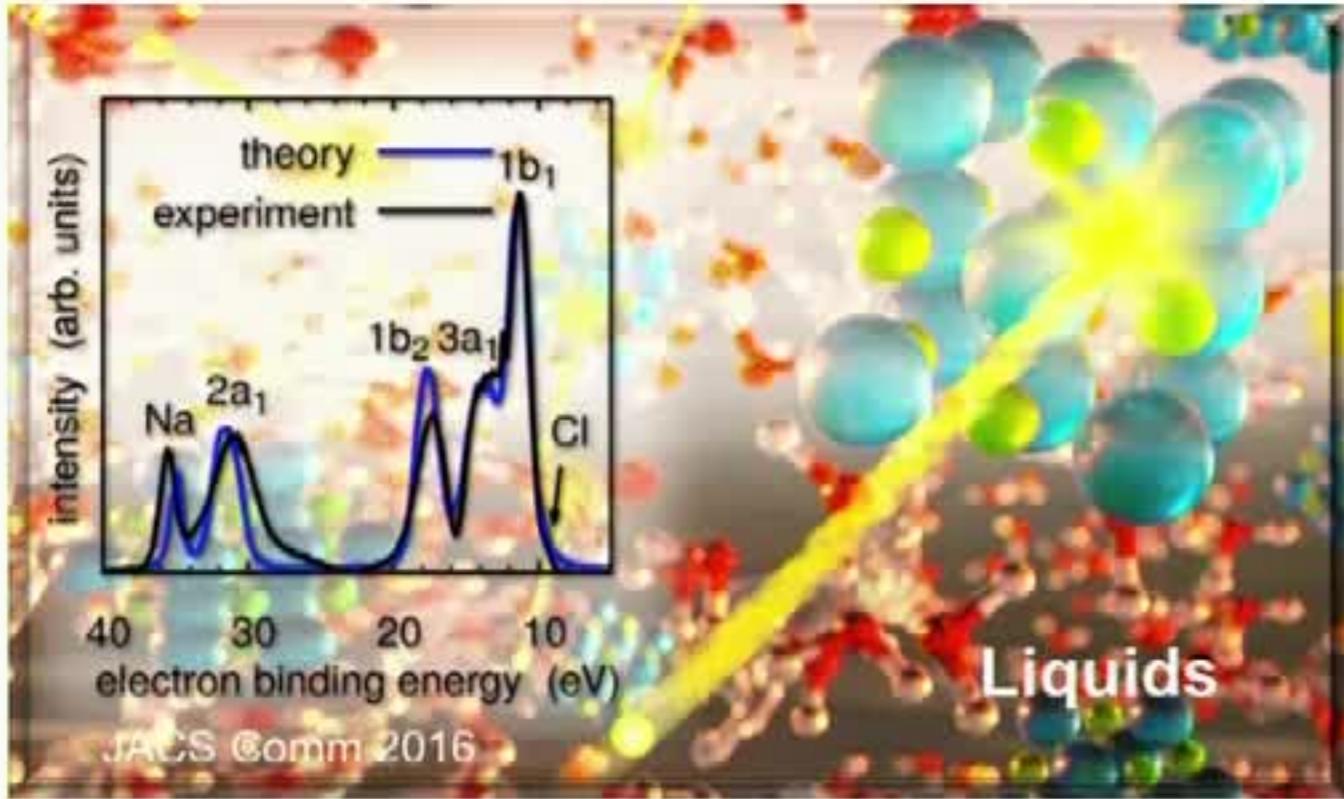
Some examples



Interfaces



Some examples



Absorption of light: solving the Bethe Salpeter equation (BSE)

Quantum Liouville equation

$$i \frac{d\hat{\rho}(t)}{dt} = [\hat{H}(t), \hat{\rho}(t)]$$

$$\begin{aligned}\hat{H}(t)\phi(\mathbf{r}, t) &= \left[-\frac{1}{2}\nabla^2 + v_H(\mathbf{r}, t) + v_{ext}(\mathbf{r}, t) \right] \phi(\mathbf{r}, t) \\ &+ \int \Sigma(\mathbf{r}, \mathbf{r}', t) \phi(\mathbf{r}', t) d\mathbf{r}'\end{aligned}$$

$$\Sigma_{COH}(\mathbf{r}, \mathbf{r}') = \frac{1}{2}\delta(\mathbf{r} - \mathbf{r}')W_p(\mathbf{r}', \mathbf{r}) \quad \text{BSE}$$

$$\Sigma_{SEX}(\mathbf{r}, \mathbf{r}', t) = -\sum_v \phi_v(\mathbf{r}, t) \phi_v^*(\mathbf{r}', t) W(\mathbf{r}', \mathbf{r})$$

Screened Coulomb interaction

- The quantum Liouville equation is solved within linear response theory
- Explicit calculation of empty electronic states is avoided by using iterative diag. of ϵ
- The Tamm-Danoff approximation is not necessary

D. Rocca, D. Lu, and G. Galli, *JCP* (2010)
D. Rocca, Y. Ping, R. Gebauer, and G. Galli, *PRB* (2012)

D. Rocca, R. Gebauer, Y. Saad, and S. Baroni, *JCP* (2008)
B. Walker, R. Gebauer, A. M. Saitta, and S. Baroni, *PRL* (2006)

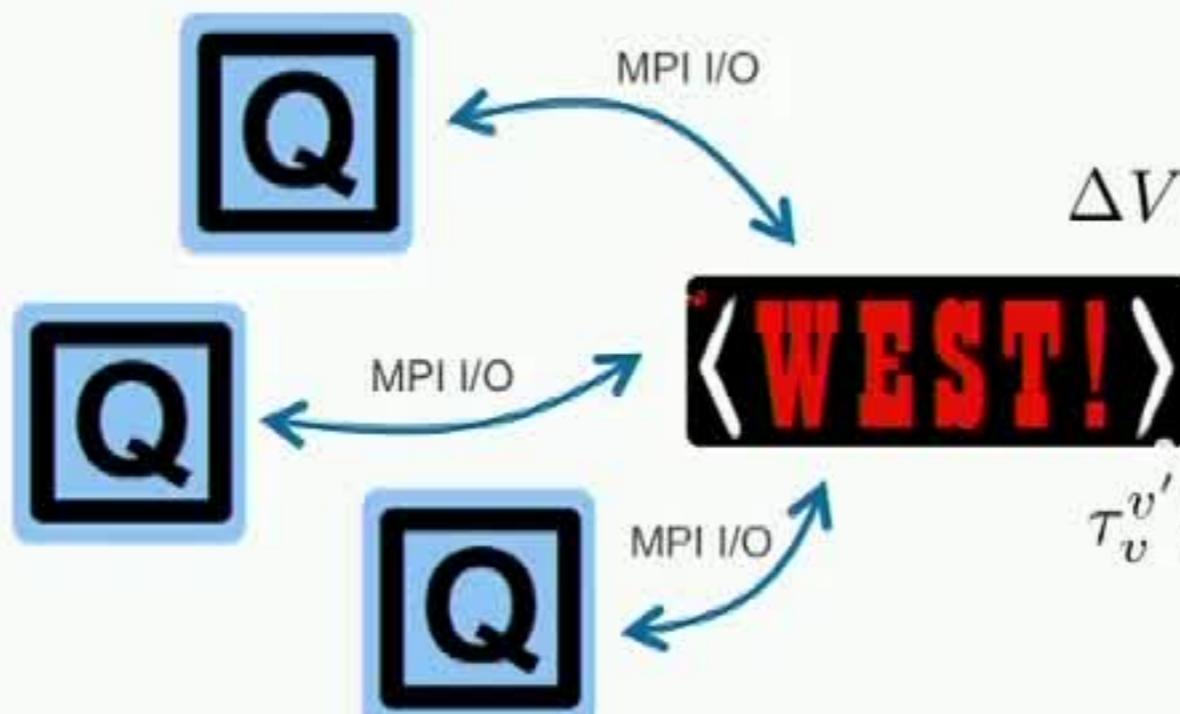
Finite field calculations to solve the Bethe Salpeter equation

$$K_{v,v'}^{1d} |a_{v'}\rangle = \hat{\mathbf{P}}_c \left(\int \mathbf{W}(\mathbf{r}, \mathbf{r}') \varphi_{v'}^{o*}(\mathbf{r}') \varphi_v^o(\mathbf{r}') d\mathbf{r}' \right) |a_{v'}\rangle$$

$$\hat{\mathbf{W}} = \mathbf{v}_c + \mathbf{v}_c \chi \mathbf{v}_c$$

$$\hat{H} = \hat{H}_o + \Delta V(r)$$

$$\Delta \rho(r) = \sum_v \varphi_v^{o*}(r) \times [\varphi_v(r) - \varphi_v^o(r)]$$

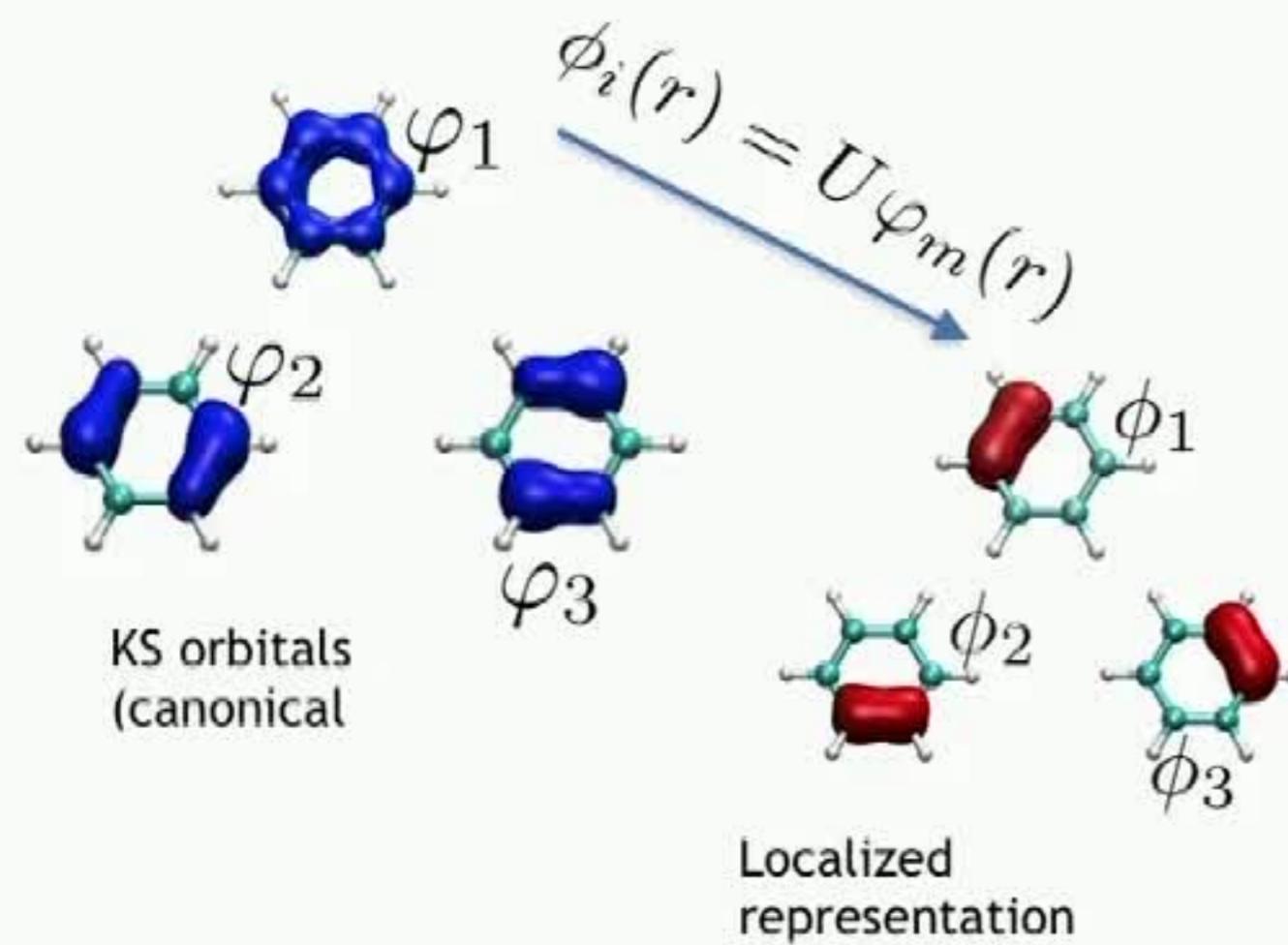


$$\Delta V(r) = \int \mathbf{v}_c(\mathbf{r}, \mathbf{r}') \rho_v^{v'}(r') dr'$$

$$\begin{aligned}\tau_v^{v'}(r) &= \int \hat{\mathbf{W}}(\mathbf{r}, \mathbf{r}') \varphi_{v'}^{*}(r) \varphi_v(r) \\ &= \Delta V + \int v_c(r, r') \Delta \rho(r') dr'\end{aligned}$$

Reduction of scaling from N^4 to N^3

$$K_{v,v'}^{1d} |a_{v'}\rangle = \hat{\mathbf{P}}_c \left(\int \mathbf{W}(\mathbf{r}, \mathbf{r}') \varphi_{v'}^{o*}(\mathbf{r}') \varphi_v^o(\mathbf{r}') d\mathbf{r}' \right) |a_{v'}\rangle$$



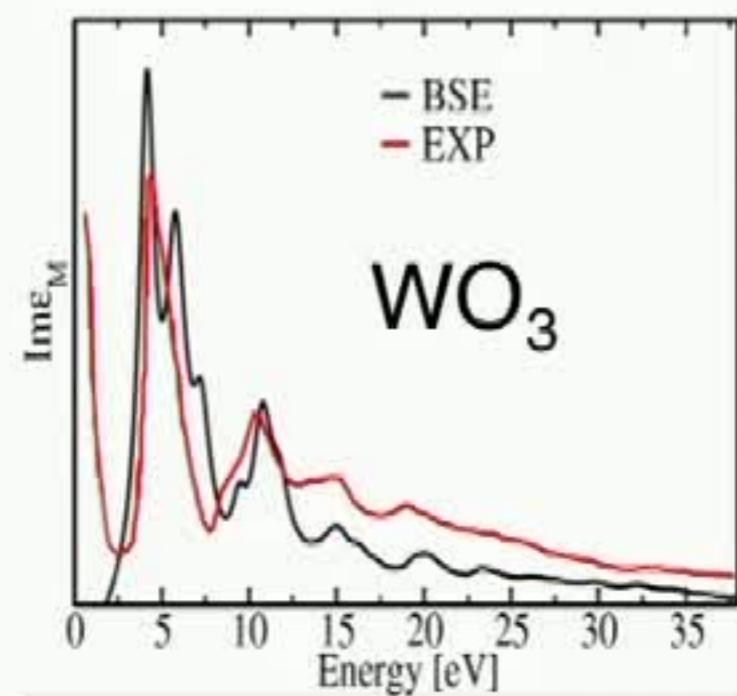
$$U^\dagger U = I$$

$$\varphi_1(r) \times \varphi_2(r) \neq 0$$

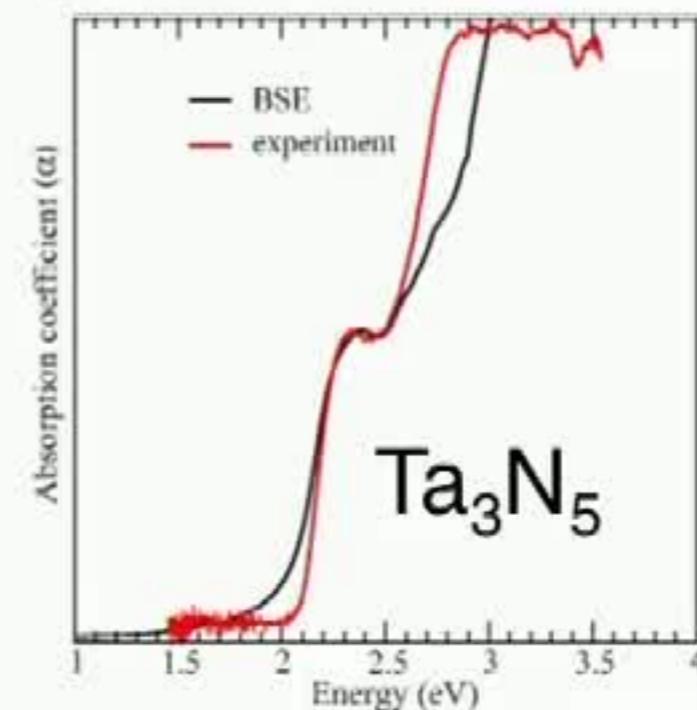
$$\phi_1(r) \times \phi_2(r) \sim 0$$

Some examples

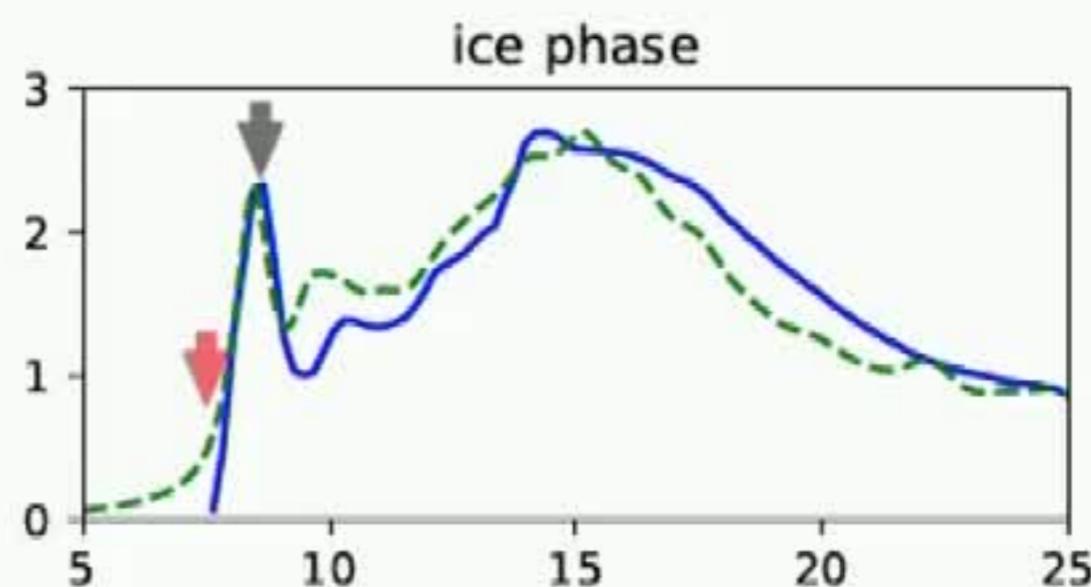
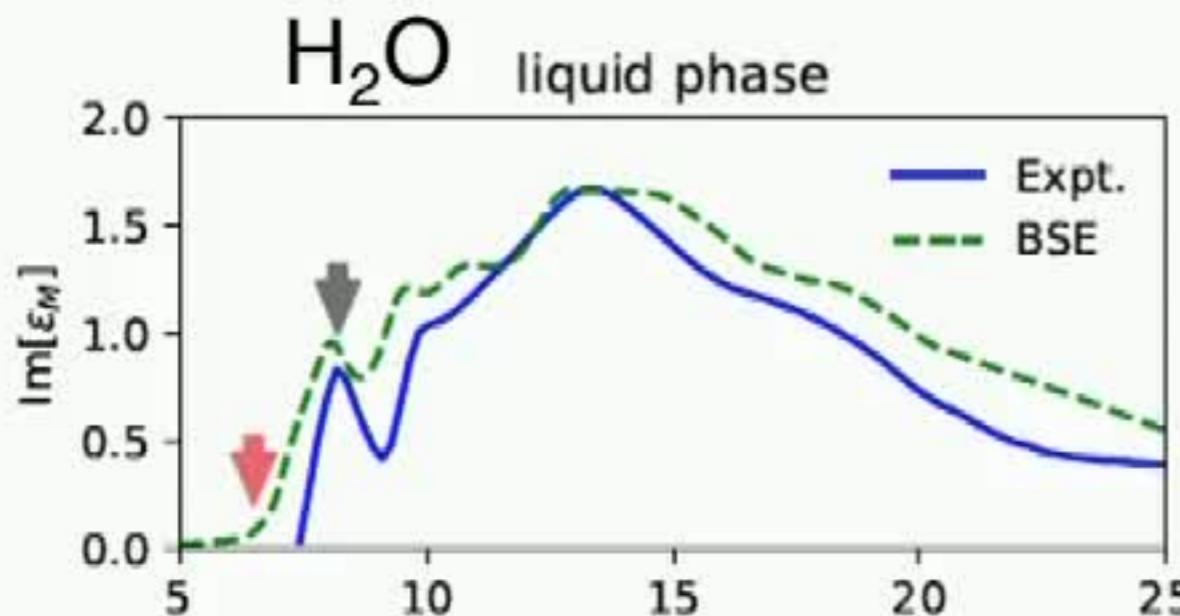
Absorption spectra: solids & liquids



PRB 2013;

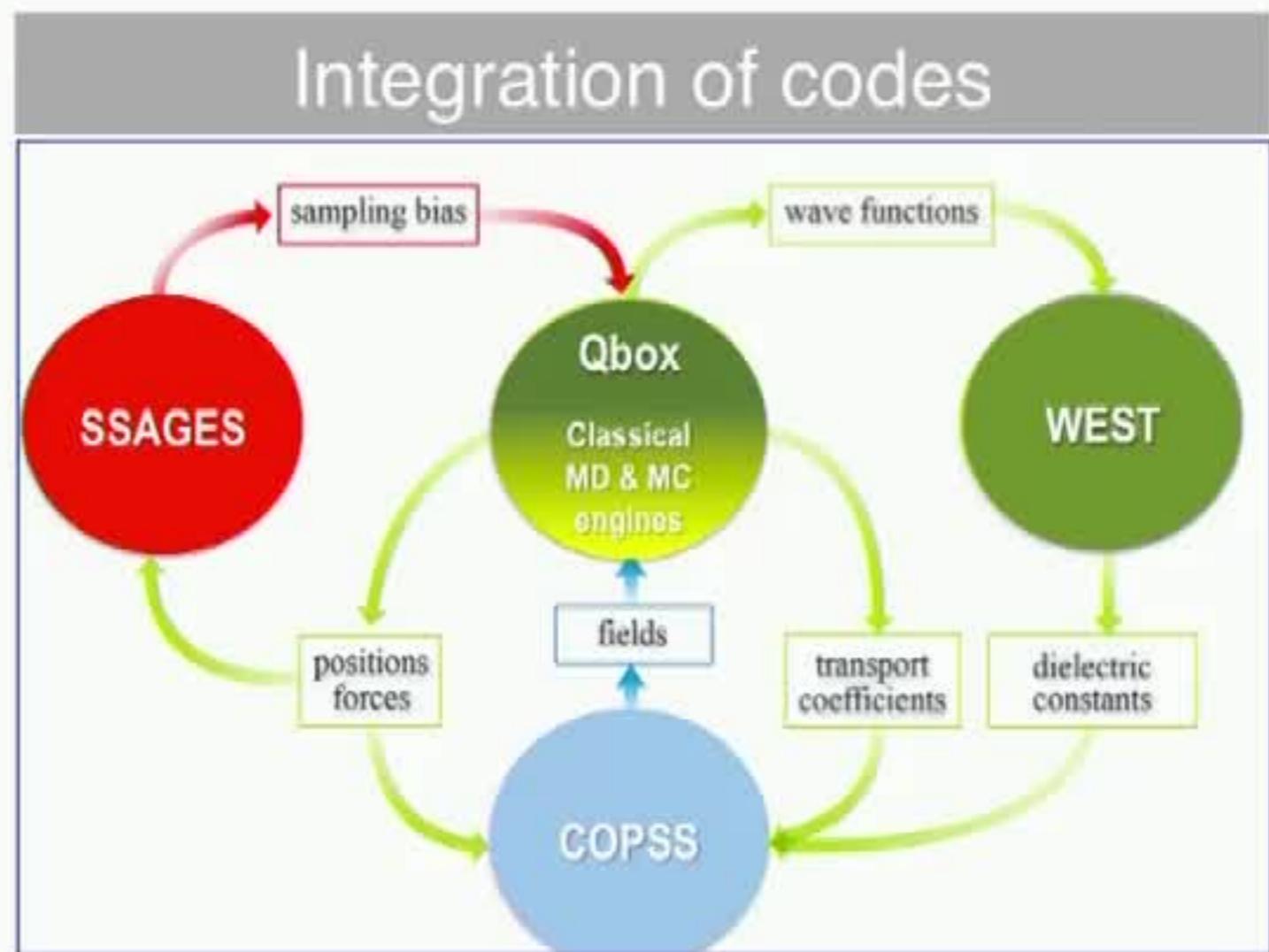


PRB 2014 & 2016



Method developments & software interoperability

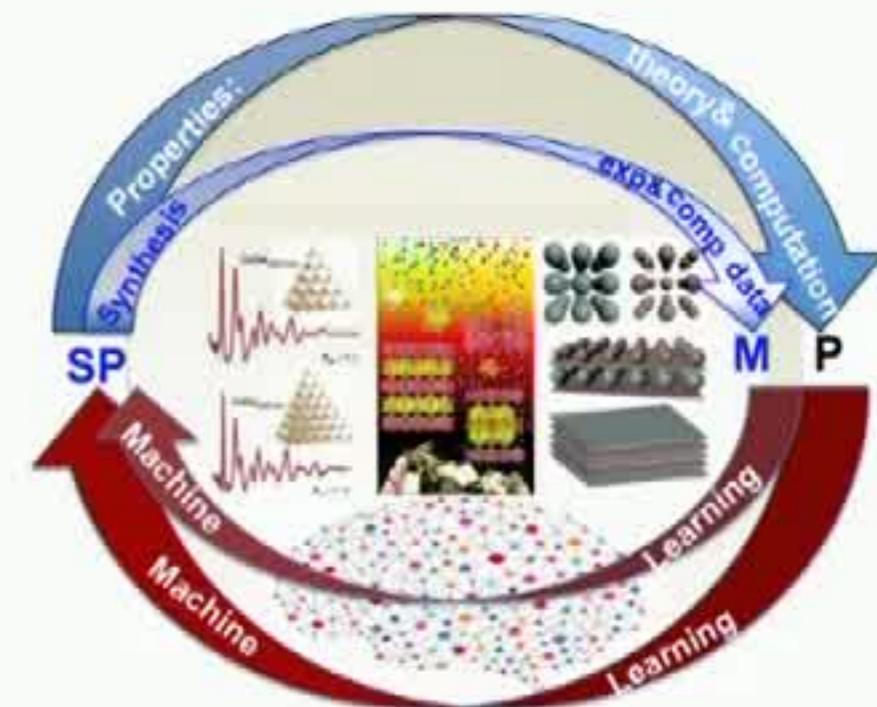
- **WEST**
 - Many-body perturb. theory
<http://www.west-code.org>
- **Qbox**
 - First-principles MD
<http://qboxcode.org>
- **SSAGES**
 - Advanced sampling
<http://miccomcodes.org/>
- **COPSS**
 - Particle-continuum codes
<http://miccomcodes.org/>



<http://miccom-center.org/software.html>

Discovery & Design

- ‘Inverse problems’ : not yet there
 - The road to progress will include the ability to carry out *robust & efficient* calculations of *many* materials *properties*
- Predictions on how to **synthesize** a material with **desired properties**: not yet there
 - Computational synthesis requires brand new theoretical and computational strategies
- Discovery of new physical phenomena



Towards D&D

Towards D&D

- Coupling of methods & coupling of software
 - Method development (theory & algorithms) is a critical need of the field
- Definition of automatic verification and validation procedures
- Integrated [theory-computation-data-experimental] strategies & automatic feedbacks

Acknowledgements



Qbox:
First-principles molecular
dynamics



WEST:
Many-body perturbation theory



SSAGES:
Reactive pathway identification
and free energy calculation



MICCoM

<http://miccom-center.org>

Collaborators: Dmitri Talapin (UoC), Stefan Wipperman (Max Planck, Dusseldorf), Francois Gygi (UCD), Juan de Pablo (UoC), David Awschalom (UoC), Francesco Paesani (UCD), T. Anh Pham (LLNL)